## Research Article

# A System for the Semantic Multimodal Analysis of News Audio-Visual Content

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News-related content is nowadays among the most popular types of content for users in everyday applications. Although the generation and distribution of news content has become commonplace, due to the availability of inexpensive media capturing devices and the development of media sharing services targeting both professional and user-generated news content, the automatic analysis and annotation that is required for supporting intelligent search and delivery of this content remains an open issue. In this paper, a complete architecture for knowledge-assisted multimodal analysis of news-related multimedia content is presented, along with its constituent components. The proposed analysis architecture employs state-of-the-art methods for the analysis of each individual modality (visual, audio, text) separately and proposes a novel fusion technique based on the particular characteristics of news-related content for the combination of the individual modality analysis results. Experimental results on news broadcast video illustrate the usefulness of the proposed techniques in the automatic generation of semantic annotations.

## 1. Introduction

Access to news-related multimedia content, either amateur or professional, is nowadays a key element in business environments as well as everyday practice for individuals. The proliferation of broadband internet and the development of media sharing services over the World Wide Web have contributed to the shifting of traditional news content creators, such as news agencies and broadcasters, towards digital news manipulation and delivery schemes. At the same time, the availability of inexpensive media capturing devices has additionally triggered the creation and distribution of vast amounts of user-generated news audiovisual content, giving rise to citizen journalism. Several distribution channels, from generic ones (e.g., YouTube (http://www.youtube.com/)) to dedicated citizen journalism services (e.g., YouReporter (http://www.youreporter.it/)), have been developed in the last few years as part of this evolution of the news distribution environment. Although the generation and distribution of news content has become commonplace, the automatic analysis and annotation that is required for supporting intelligent search and delivery of this content remains an open issue. In general, the cornerstone of the efficient manipulation of any type of multimedia material is the understanding of the semantics of it [1]; news-related audio-visual content is no exception to this rule.

In response to the need for understanding the semantics of multimedia content in general, knowledge-assisted analysis has recently emerged as a promising category of techniques [2]. Knowledge-assisted analysis refers to the coupling of traditional analysis techniques such as segmentation and feature extraction with prior knowledge for the domain of interest. The introduction of prior knowledge to the analysis task is a natural choice for countering the drawbacks of traditional approaches, which include the inability to extract sufficient semantic information about the multimedia content (e.g., semantic objects depicted and events presented, rather than lower-level audiovisual features) and the ambiguity of the extracted information (e.g., visual features may be very similar for radically different depicted objects and events). Machine learning techniques are often used as part of knowledge-assisted analysis architectures, being suitable for discovering complex relationships and interdependencies between numerical image data and the perceptually higher-level concepts. Among the most commonly adopted machine learning techniques are Neural Networks (NNs), Hidden Markov Models (HMMs), Bayesian Networks (BNs), Support Vector Machines (SVMs), and Genetic Algorithms (GAs) [3, 4]. Other analysis approaches make use of prior knowledge in the form of explicitly defined facts, models, and rules; that is, they provide a coherent semantic domain model to support inference [2, 5].

In this work, an architecture for the knowledge-assisted multimodal analysis of news-related multimedia content is proposed. This initially employs state-of-the-art methods for the analysis of each individual modality (visual, audio, text) separately. Subsequently, a fusion technique that does not require training with the use of a manually annotated dataset is introduced for combining the individual modality analysis results. This technique takes into account knowledge encoded in an appropriate ontology infrastructure, and its main novelty lies in that it explicitly takes into account the potential variability of the different unimodal analysis techniques in terms of the decomposition of the audio-visual stream that they adopt, the fuzzy degrees of content-concept association that they produce, the concepts of the overall large-scale ontology that they consider, the varying semantic importance of each modality, and other factors.

The paper is organized as follows: related work on news multimodal analysis is reviewed in Section 2. In Section 3 the analysis problem that this work attempts to address is formulated and the overall architecture of the proposed approach is presented. The knowledge representation and the different unimodal analysis techniques that are part of this architecture are outlined in Sections 4 and 5, while the technique developed for combining the individual modality analysis results is presented in detail in Section 6. Section 7 reports on the experimental evaluation and comparison of the developed techniques, and conclusions are drawn in Section 8.

## 2. Related Work

Knowledge-assisted semantic multimedia analysis techniques can be classified, on the basis of the information that they exploit for analysis, to unimodal and multimodal ones. Unimodal techniques exploit information that comes from a single modality of the content; for example, they exploit only visual features for classification [6]. Multimodal techniques, on the other hand, exploit information from multiple content modalities in an attempt to overcome the limitations and drawbacks of unimodal ones. Applications of multimodal techniques range from semantic multimedia analysis to audio-visual speech recognition [7], discourse processing in dialogue systems [8], and video retrieval [9].

In general, the multimodal techniques can be broadly classified to those jointly processing low-level features that come from different modalities [10, 11], and those that combine the results of multiple unimodal analysis techniques [12, 13]. Rank aggregation and other methods used primarily in retrieval applications to combine ranked lists of retrieval results [14, 15] can also be classified to the latter category. While it can be argued that each one of the two aforementioned classes of multimodal techniques has its advantages and thus can be more or less suitable than the other for a given application, it is generally observed that techniques of the latter class are more suitable when a "deep" analysis of each modality is required (e.g., speech recognition and linguistic analysis of the transcripts, rather than mere classification of audio segments to a limited number of classes).

Regarding news content analysis in particular, there has been a number of approaches presented in the last few years. In some of them, the emphasis is on textual transcript processing; other modalities such as the visual one have limited contribution. For example, in [16], news video is segmented into shots and scenes using visual and audio analysis techniques; the semantic categorization of each resulting news segment is performed using only the results of natural language processing techniques on OCR-generated transcripts. In [17], the emphasis is again mostly on textual information processing, and the results of it together with limited visual analysis results (detected captions, faces, etc.) are fused for the purpose of visualization of large-scale news video collections, with the objective of facilitating browsing the collection and retrieving video clips. However, recent advances in visual information analysis and classification have made possible the extraction of rich semantic information from the visual modality as well; this should be exploited.

The number of supported classes of news content is another important factor when examining different news content analysis approaches. In [18], a two-layer classification scheme is introduced, where the second-layer classifier fuses the output of the individual first-layer classifiers, for building detectors for just two classes: anchor and commercial. In [11] the problem of fusing the results of different classifiers to eventually classify each news video segment to one of 5 classes (politics, society, health, sports, and finance) is treated as a Bayesian risk minimization problem. In [19], 10 news categories (i.e., Politics, Military, Sport, etc.) are defined, detectors are designed for processing textual and audio-visual information separately based on SVMs and GMMs, and a fusion strategy is used for deciding on the category membership of each news story. Although such methods highlight important aspects of news multimodal analysis, the limited number of classes that they consider means either that they solve a very constrained problem (such as anchor or commercial detection) or that they result in a very broad classification of news content (i.e., to 5-10 classes). Acknowledging the need to consider a larger number of classes as well as multiple modalities, in [20] multimodal fusion is formulated as an optimization problem and generic methods for optimizing linear and nonlinear combinations of modalities are discussed; again, however, testing of the developed techniques is reported on a rather limited number of classes.

Finally, the type of considered news content and the exact application that multimodal fusion techniques support may vary among the relevant literature approaches. In [21], a generic approach to fusion is also proposed based on the use of conceptual graphs; however, the focus is on fusing TV program metadata such as program title and date, rather than semantic information coming from the analysis of the audio, visual, and so forth modalities. As a consequence, the developed formulation cannot handle uncertain input, for example, the fuzzy degrees of content-concept association that individual modality analysis techniques such as visual classifiers typically produce. This technique has been used as part of a recommendation system for smart television [12]. In [22], the problem of consolidating information coming from various textual news sources on the Web is considered. The developed method can handle uncertain input (confidence levels for each individual analysis result) but employs simple majority voting for combining the results coming from the different news sources, rather than taking into account that the reliability of each source may differ. In [14], the problem of multimodal fusion for retrieval is addressed and methods such as Borda Count and Borda Fuse for combining ranked lists of retrieval results are discussed; however, these methods do not consider issues that are specific to multimodal fusion for analysis, such as the existence of a different content decomposition for each modality.

#### 3. Proposed Approach

3.1. Problem Formulation. The objective of analysis in this study is to associate each elementary temporal segment (e.g., video shot) of the audiovisual stream with one or more semantic concepts. Let us start by defining an ontology  $\mathcal{O}$  that includes the set of concepts that are of interest to a given application domain and their hierarchy:

$$\mathcal{O} = \{C, \leq_C\},\tag{1}$$

where  $C = \{c_k\}_{k=1}^{K}$  is the set of concepts and  $\leq_C$  is a partial order on C called concept hierarchy or taxonomy.  $C' \subset C$  is the set of top-level concepts of the ontology, that is, the sibling concepts that define the coarsest possible classification of content according to  $\mathcal{O}$ . In any practical application, the employed ontology will normally include additional elements such as properties and concept relations in addition to those specifying the hierarchy, as discussed in the following section. However, the above simplified ontology definition is sufficient at this point.

Let us assume that I individual modality analysis tools exist. These tools may include, for example, visual-video classification, linguistic analysis of speech transcripts, and audio event detection. Each of these tools defines a decomposition  $D_i$  of a multimedia content item (i.e., creates an ordered set of temporal segments) and, considering all concepts of *C* or a subset of them, associates each segment of  $D_i$ with one or more concepts by estimating the corresponding "degrees of confidence". The values of the latter may be either binary {0, 1} or (following normalization, if necessary) real in the range [0, 1]. Thus, application of the *I* aforementioned analysis tools to a multimedia content item will result to the definition of a set of content temporal decompositions:

$$D = \{D_i\}_{i=1}^l.$$
 (2)

In the general case, each decomposition  $D_i$  is a different set of temporal segments, since modality-specific criteria are typically used for determining the latter; for example, a meaningful elementary visual decomposition of video would probably be based on the results of visual shot change detection, while for automatic speech recognition (ASR) transcripts it would probably be based on audio classification or speaker diarization results instead. All the decompositions together define a temporal segment set *S*:

$$S = \left\{ s_j \right\}_{j=1}^{j}.$$
 (3)

It is useful to observe that *S*, which contains all segments in *D*, is a set of temporal segments with no hierarchy, many of which may temporally overlap in full or in part (an example of this can be seen in Figure 7). Each member of set *S* can be defined as a vector:

$$s_j = \left[t_j^A, t_j^B, \left\{d_j(c_k)\right\}_{k=1}^K\right],\tag{4}$$

where  $t_j^A$ ,  $t_j^B$  are the start- and end-time of the temporal segment and  $d_j(c_k) \in [0,1]$  is the degree with which the individual modality analysis tool that defined  $s_j$  associated it with concept  $c_k$  of the ontology after analysis of the relevant unimodal information. In many cases,  $s_j$  would be expected to be a sparse vector (since  $d_j(\cdot)$  would normally be zero for the majority of concepts of the ontology) and therefore in practice may be represented more efficiently as a variable-length vector that includes only the nonzero values of  $d_j(\cdot)$ , but the former representation is used in the sequel for notational simplicity.

The multimodal analysis problem addressed in this work is, given the above set S of heterogeneous individual modality analysis results and the ontology  $\mathcal{O}$ , and using one of the decompositions of set D as a reference decomposition, to decide what is the most plausible annotation (or the ordered list of N most plausible annotations) for each temporal segment of the reference decomposition. It should be clarified that the term "reference decomposition" is used for denoting the decomposition that is used for associating the final multimodal analysis results with the content; its selection is made by the user according to the specific user/application needs. For example, if a retrieval application requires the content to be indexed at the level of visual shots, this is the decomposition that should be used as reference decomposition during analysis, to ensure that multimodal analysis results are indeed associated with every

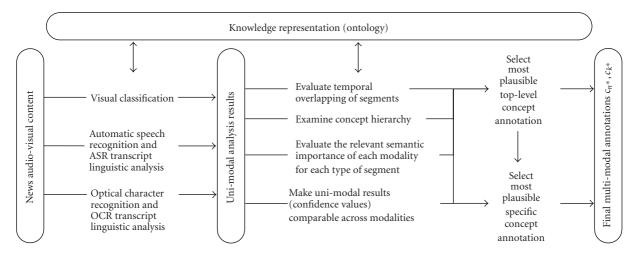


FIGURE 1: Overview of the proposed approach for multimodal analysis of news audio-visual content.

individual visual shot; if, on the contrary, indexing and retrieval, for example, at the speaker level (i.e., according to different speakers) is required, the corresponding decomposition should be used as the reference one during analysis. Evidently, the multimodal analysis process can be repeated using each time a different reference decomposition, to allow for the multimodal annotation of segments belonging to different decompositions (e.g., both visual shots and speaker segments), if this is required.

3.2. System Overview. An overview of the approach proposed in this work for addressing the multimodal analysis problem discussed above is shown in Figure 1. As can be seen in this figure, starting from the audiovisual content on the far left, different techniques for analyzing separately each individual modality (visual, audio, text) are executed in parallel, resulting in an extended set of unimodal analysis results. These are represented with the use of a domain ontology and a multimedia ontology, that account for the domain knowledge (e.g., concepts) and the low-level properties of the content (e.g., decompositions), respectively. The independent processing of each modality allows the use of modality-specific techniques and criteria for identifying elementary temporal segments (e.g., visual shots, audio segments, etc.) and for estimating degrees of confidence for the association of each such temporal segment with the different possible concepts. Following the generation of the unimodal analysis results, different possible associations between them (such as the overlapping of temporal segments, the relation of different concept annotations according to the concept hierarchy, etc.) are evaluated with the use of specific functions, and all these are combined in a two-stage process for identifying the most plausible concept annotations for any given temporal segment. At the first stage, the overall influence of the various decompositions and the different concepts on the association of the given segment  $s_i$  (of the reference decomposition) with a top-level domain concept  $c_k \in C'$  is evaluated. At the second stage, the above top-level concept annotation decision is propagated to the more specific (i.e., less abstract) concepts of C, to result in the selection of the most plausible specific concept annotation of  $s_j$ .

## 4. Knowledge Representation

In a knowledge-assisted multimedia analysis system, such as the proposed one, knowledge representation serves two main purposes: the representation of prior knowledge for the domain, and the representation of the analysis results. To serve these goals, an ontology infrastructure has been built that comprises two main parts: a domain ontology, that represents the prior knowledge for the domain, and a multimedia ontology.

The developed domain ontology is based on an extension of the IPTC (International Press Telecommunications Council, http://www.iptc.org/) tree for the news domain and includes a hierarchy of classes that range from rather abstract ones, such as "disaster and accident" (i.e., the toplevel concepts belonging to C'), to specific ones, such as "earthquake" and "flood" (Figure 2). The latter classes are the least abstract ones with which an elementary news item can be associated. In terms of visual analysis, these are at the same time the most abstract classes to which attempting to directly classify any piece of visual information based on its low-level visual properties would make sense. Consequently, in order to support efficient visual analysis, a set of even less abstract classes, that is, region-level concepts  $V = \{v_z\}_{z=1}^{Z}$  describing possible spatial regions of an image rather than entire images, is also defined. Examples of such region-level concepts include person, building, road, sky, flames, water, foliage, and mountain. Contextual information X in the form of concept frequency of appearance is also included in this ontology, extending the ontology definition of (1) as follows:

$$\mathcal{O} = \{C, \leq_C, V, X\}.$$
(5)

The multimedia ontology, on the other hand, is a knowledge structure used for supporting the storage of information and of analysis results about the content (e.g.,

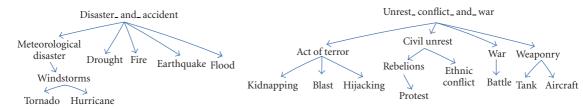


FIGURE 2: Subset of concepts and their hierarchy in the employed ontology for news. Two of the 17 top-level concepts ("Disaster and accident", "Unrest, conflict, and war") and a few of their subconcepts are depicted.

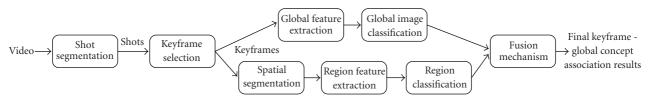


FIGURE 3: Overview of the visual classification process.

its different decompositions). Its development represents a choice concerning the practical implementation of the proposed system rather than the algorithmic aspects of it and therefore this ontology does not need to be discussed here; the interested reader is referred to [23] for a detailed presentation.

#### 5. Single Modality Analysis Techniques

5.1. Visual Classification. The analysis of the visual information involves several processing steps that include basic ones, such as shot decomposition and visual feature estimation, as well as knowledge-assisted analysis techniques, such as global keyframe- and region-level classification and the fusion of these classification results to a single hypothesis set about the concept membership of each shot of the examined news item (Figure 3).

Preprocessing starts with temporal video decomposition to shots, which are the elementary video streams that can be associated with one concept of the employed ontology. For shot segmentation the algorithm of [24] is employed, which works directly with frame histogram metrics computed over low-resolution images extracted from the compressed video stream. Subsequently, a keyframe is identified for each shot and a rich set of MPEG-7 visual descriptors [25] is extracted for it, both at the global image level (Scalable Color, Homogeneous Texture, and Edge Histogram descriptors) and at the region level (Scalable Color, Homogeneous Texture, and Region Shape), following spatial segmentation to homogeneous regions using the method of [26]. As a final preprocessing stage, face detection is performed using a variant of the method of [27]; given a keyframe of the shot, the presence of one or more human faces is detected and their locations on the image grid are specified, allowing among others the evaluation of the area of the image that is taken by the face(s).

Following preprocessing, a set of techniques aiming at the association of pieces of visual information with classes of the domain ontology is applied, starting with global image classification. In order to perform classification of the examined visual content into one of the concepts defined in the ontology using global-image descriptions, a compound visual feature vector is initially formed from the previously specified MPEG-7 descriptors. Then, a Support Vector Machine (SVM) [28] structure is utilized to compute the class to which each piece of visual information belongs. This comprises L SVMs, one for every selected concept. It must be noted that the set of concepts for which visual classifiers are trained is typically a subset of C - C', due to lack of sufficient training data for all concepts in C - C' and also the fact that many of these concepts have no clear visual manifestation that would make the training of visual classifiers possible (e.g., concept "liberation"). Each SVM is trained under the "one-against-all" approach, using an appropriate training set of images that were manually classified to concepts. At the evaluation stage, each SVM returns for every image of unknown concept membership a numerical value in the range [0, 1]. This value denotes the degree of confidence with which the corresponding visual content is assigned to the concept represented by the particular SVM and is computed from the signed distance of it from the corresponding SVM's separating hyperplane using a sigmoid function [29]. For each keyframe, the maximum of the L-calculated degrees of membership indicates its classification based on global-level features, whereas all degrees of confidence,  $H_l$ , l = 1, ..., L, constitute its concept hypothesis set.

Region-level classification follows, using a similar SVM structure to compute an initial region-concept association for every spatial region of the keyframe. As in the previous case, an individual SVM is introduced for every region-level concept  $v_z$  of the employed ontology, in order to detect the corresponding association. For training the SVMs, an appropriate training set (made of regions generated by automatic segmentation and manually assigned to region-level concepts) is employed. As a result, at the evaluation stage a degree of confidence is returned for each region

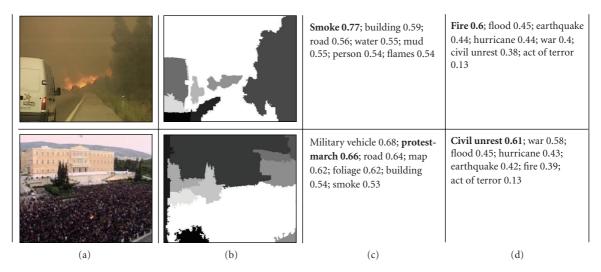


FIGURE 4: Visual classification examples: (a) keyframe, (b) segmentation mask, (c) results of region classification for the spatial region shown in white in the mask (only a few region-level concepts, in descending order according to the estimated degree of confidence, are shown) and (d) final keyframe classification results (in descending order according to the estimated degree of confidence), generated by combining the region-level classification results for all regions and the results of global classifiers. The concepts that are in agreement with the ground truth annotation are shown in bold. Taking into account all region-level classification results rather than the single highest-ranking regionlevel concept for every region, when estimating the final keyframe classification results, is motivated by the known imperfection of region classifiers (as seen in the second example).

*r* of unknown concept membership and each region-level concept  $v_z$  in the domain ontology. These results for all regions of the keyframe are subsequently employed for inferring a new keyframe-concept association hypothesis set  $H'_l$ , as in [6].

Finally, a fusion mechanism in the form of a weighted summation  $G_l = \lambda_l \cdot H_l + (1 - \lambda_l) \cdot H'_l$  is introduced for deciding upon the final keyframe—global concept association. The concept for which  $G_l$  is maximized is the most plausible annotation of the respective video shot based on visual information, while  $G_l$ , l = 1, ..., L, is the final visual classification hypothesis set. For optimizing the weights  $\lambda$  for each concept, a genetic algorithm is used, to account for the varying relevant importance of global and local information for the detection of different concepts [23]. Indicative examples of intermediate and final visual classification results are shown in Figure 4.

5.2. Visual Analysis for Text Extraction. Besides the association of video shots with semantic classes (concepts) on the basis of the visual features of the corresponding keyframes, visual information, that is, the keyframes, can also be used for extracting the text that is in some cases superimposed to them. In news content, this text typically encompasses in a very compact way semantic information such as person names or event summaries, some of which can be useful for analysis. To this end, text transcripts are generated by application of software developed on top of a commercial Optical Character Recognition (OCR) software development kit (ABBYY FineReader Engine 8.1) to keyframes of the video. All keyframes extracted as discussed in the previous section are processed; the work flow of this processing involves (a) text regions detection on the keyframe and (b) Optical Character Recognition, as depicted in Figure 5(a). Both these tasks are perfomed using functions of the employed commercial software development kit. The resulting text transcripts subsequently undergo linguistic analysis as discussed in Section 5.4.

5.3. Audio Analysis. The use of speech technology to exploit the linguistic content that is available as spoken content in videos has proven to be helpful in bridging the semantic gap between low-level media features and conceptual information needs [30] and its use has been advocated for many years. In this work, the SHoUT large vocabulary speech recognition system is used to this end.

The work flow of the system is depicted in Figure 5(b). Processing of an audio file starts with speech activity detection (SAD) in order to filter out the audio parts that do not contain speech [31]. After SAD, speaker diarization is performed: the speech fragments are split into segments that only contain speech from one single speaker with constant audio conditions and each segment is labeled with a speaker ID following speaker clustering [32]. Subsequently, automatic speech recognition (ASR) is performed in four steps. First, features are extracted from the segmented audio and are normalized for speaker and audio variations. Next, a primary decoding pass is run. The output of this pass is used for adapting the acoustic model for each speaker cluster. Finally, the secondary decoding pass uses the adapted models for producing the final speech transcripts. For ASR decoding, a time synchronous Viterbi search is used, implemented using the token passing paradigm [33]. HMMs with three states and GMMs for its probability density functions are used to calculate acoustic likelihoods of context dependent phones. The employed decoder is described in more detail in [34].

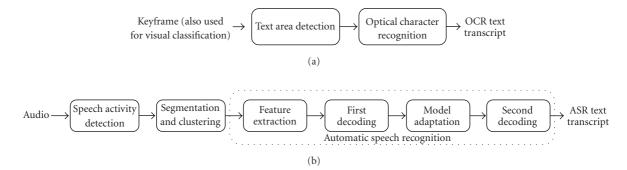


FIGURE 5: Overview of (a) visual analysis for text extraction and (b) audio analysis. Both result in the generation of text transcripts.

| As night fell over Baghdad on<br>Monday coalition warplanes carried<br>out a new wave of air attacks | War 1.0  | Location: Bagdad,<br>Iraq; Day: Monday |  |  |
|--|----------|--|--|--|
| Fires in Portugal  | Fire 1.0 | Location: Portugal                     |  |  |
| (a)  | (b)      | (c)                                    |  |  |

FIGURE 6: Linguistic analysis examples: (a) text transcripts (the first one coming from ASR and the second from OCR), (b) contentconcept associations using the concepts of set C, (c) additional information in the form of locations, and so forth.

Output of the audio analysis process is a temporal decomposition of the audio stream to speaker segments and a textual transcript for each such segment.

5.4. Linguistic Analysis. Textual information analysis of multimedia news-related material may be applicable to textual information coming from a number of different sources: textual annotations produced manually by the content creators, when such information is available; text extracted from the video frames by means of OCR techniques (Section 5.2); and ASR transcripts produced by audio analysis, as discussed above. In all three cases, textual information analysis will exploit for its application a suitable temporal decomposition, depending on the source of textual information: (i) for manual annotations, the temporal decomposition that has been manually defined for them; (ii) for text coming from OCR, all text extracted from a single keyframe will be analyzed together; (iii) for ASR transcripts, it will be performed at the speaker level (i.e., exploiting the results of speaker diarization performed as part of the audio processing), independently processing each uninterrupted speech segment of a single speaker.

In this work, the SProUT platform (*Shallow Processing* with Unification and Typed Feature Structures) is used as core annotation and information extraction engine. SProUT combines finite state techniques with unification of typed feature structures (TFSs) [35]. The TFS framework provides a powerful device for representing and propagating information. Rules are expressed by regular expressions over input TFSs that get instantiated by the analysis. The reader is referred to [36, 37] for more details on SProUT.

Output of linguistic analysis, regardless of the source of the input, is a set of content-concept associations using the concepts of set *C* of the employed ontology (Section 4) and additional information in the form of locations, person names, and other attributes. Linguistic analysis is applied separately to the information coming from each of the possible input sources (i.e., ASR, OCR, etc.), not only because of differences in the content decompositions and in the way that linguistic analysis needs to process the different inputs but also because the output of linguistic analysis for each information source needs to be treated differently when combining the individual modality analysis results, as discussed in the following section. Indicative linguistic analysis results for ASR and OCR transcripts are shown in Figure 6.

## 6. Generalized Concept Overlay for Multimodal Analysis

After having processed the individual modalities separately, the objective is to combine their results, that is, to remove ambiguities and contradictory outputs and produce a final semantic interpretation of the multimedia content. A simple, vet crude solution to the combination of individual modality analysis results without using a manually annotated dataset for training would be to disregard the concept hierarchy  $\leq_C$ of the ontology, identify all segments of S that temporally overlap in full or in part with the examined temporal segment  $s_i$  of the reference decomposition  $D_i$ , aggregate the corresponding degrees  $d_i(\cdot)$ , and select as most plausible annotation the concept  $c_k$  for which  $d_i(c_k)$  is maximized. This simple approach, however, presents several important drawbacks. Firstly, ignoring the concept hierarchy means that we choose not to consider the semantic similarity or dissimilarity of the different possible annotations; consequently, all possible annotations are treated as contradictory, although this may not be the case (e.g., one may simply be a subconcept of the other). Secondly, we treat the temporal overlapping of the segments of S as a binary variable, whereas the degree of this overlapping could in fact be useful for determining the significance of an annotation coming from segment  $s_m$  for the analysis of the reference temporal segment  $s_i$ . Thirdly, we ignore the fact that the semantic importance of all modalities is not necessarily equal and may even vary with respect to the type of content; in news video semantic analysis, for example, the visual and audio modalities carry

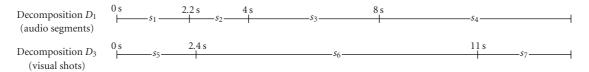


FIGURE 7: An example illustrating the use of function  $\tau$ . For the depicted decompositions,  $\tau(s_3, s_6) = (8-4)/(8-4) = 1$ ; that is, in performing multimodal annotation of  $s_3$ , the visual analysis results of  $s_6$  would be taken into account with a temporal weight of 1 (since the only visual shot temporally overlapping with  $s_3$  is  $s_6$ ). On the contrary,  $\tau(s_6, s_3) = (8-4)/(11-2.4) = 0.47 < 1$ , since  $s_3$  is not the only audio segment temporally overlapping with  $s_6$ . Thus, in performing multimodal annotation of  $s_6$ , the audio analysis results of  $s_3$  would be taken into account with a temporal weight of 0.47 and using this weight they would be combined (or would compete) with audio analysis results coming from  $s_2$  and  $s_4$  that also temporally overlap with  $s_6$ ; the sum of temporal weights for  $s_2$ ,  $s_3$ , and  $s_4$  would be equal to 1.

different weights when examining a studio shot and when examining an external reporting shot. Finally, we overlook that values  $d_j(\cdot)$  generated by different analysis tools are not directly comparable in the general case.

To alleviate the identified drawbacks of the aforementioned simplistic approach, we propose a method that is somewhat related to the overlay technique, proposed in [8] for the fusion of structured information on the basis of its temporal priority. In our approach however the decision criterion cannot be the temporal priority of concept detection, since the multimedia content is decomposed to segments (elementary temporal units) instead of being treated as a single item whose annotation may evolve in time. The order of execution of the different unimodal analysis techniques is clearly not relevant. Instead, the aforementioned considerations about the temporal overlapping of segments, semantic importance of the modalities, and so forth, have to be taken into account.

Starting with the quantification of the temporal overlapping of the segments of *S*, we define function  $\tau : S^2 \rightarrow [0, 1]$  such that

$$\tau(s_j, s_m) = \begin{cases} \frac{\min(t_j^B, t_m^B) - \max(t_j^A, t_m^A)}{t_j^B - t_j^A}, & \text{if } \Gamma > 0, \\ 0, & \text{otherwise,} \end{cases}$$
(6)

where  $s_i$  is the reference segment and

$$\Gamma = \left(t_j^B - t_m^A\right) \left(t_m^B - t_j^A\right). \tag{7}$$

The meaning of function  $\tau$  is illustrated with an example in Figure 7.

In order to take advantage of the concept hierarchy, we define function  $\phi : C^2 \rightarrow [0, 1]$  such that

$$\phi(c_k, c_n) = \begin{cases} 1, & \text{if } c_n = c_k \text{ or } c_n \text{ is a subconcept of } c_k, \\ 0, & \text{otherwise.} \end{cases}$$
(8)

Note that  $\leq_C$  is used for evaluating if one concept is a subconcept of another and that, by definition, subconcepts are not limited to immediate children of  $c_k$ .

In order to take into account the varying semantic importance of the different modalities with respect to the type of content, we define a domain-specific partitioning *W* 

of the reference decomposition  $D_i$  to a set of disjoint types of segments:

$$W = \left\{ W_q \right\}_{q=1}^Q. \tag{9}$$

In the experiments reported in this work, the decomposition of the visual modality to shots was used as the reference decomposition, and three content types (W1: Studio shots; W2: External reporting with a dominant face on the video; W3: External reporting with no dominant face on the video) were defined. Partitioning W is used for defining  $\mu$  :  $(W,D) \rightarrow [0,1]$ , a domain-specific function such that  $\mu(s_j, s_m)$ , where  $s_j \in W_q$  and  $s_m \in D_i$ , indicates the relevant semantic importance of the modality corresponding to decomposition  $D_i$  for the analysis of segments of type  $W_q$ . An example of function  $\mu(s_j, s_m)$  defined for News video is illustrated in Figure 8.

Finally, in order to account for values  $d_j(\cdot)$  generated by different analysis tools not being directly comparable, we define a set of tool- and domain-specific functions  $\xi_i$ , i = 1, ..., I, one for each modality, that attempt to make values  $\xi(d_j(\cdot))$  comparable across modalities. This can be done by enforcing them to have common statistics (e.g., the same mean value, or the same distribution such as a uniform one) over a reasonably large dataset. It must be noted that in this process no ground truth annotation is required for the employed dataset. In the sequel, the index to  $\xi$  will be omitted for notational simplicity; the use of function  $\xi$  that corresponds to the tool which generated its argument value  $d_j(\cdot)$  will be implied.

Using the above definitions, a two-stage process can be defined for combining all the individual modality analysis results. At the first stage, the overall influence of the various decompositions and the different concepts  $c_n \in C$  on the association of a segment  $s_j$  (of the reference decomposition) with a top-level domain concept  $c_k \in C'$  is defined as follows:

$$\psi(s_j, c_k) = \sum_{n=1}^{K} \left[ \phi(c_k, c_n) \cdot \left( \sum_{m=1}^{J} \tau(s_j, s_m) \cdot \mu(s_j, s_m) \cdot \xi(d_m(c_n)) \right) \right].$$
(10)

Then,

$$k^* = \arg\max_{k} \left( \psi(s_j, c_k) \right) \tag{11}$$

| Partitioning <i>W</i> of reference decomposition<br><i>D</i> <sub>3</sub> |                       |                       | Martin                    |
|---|-----------------------|-----------------------|---------------------------|
| Decomposition $D_1$ (ASR)   | <i>a</i> <sub>3</sub> | $a_1$                 | <i>a</i> <sub>3</sub>     |
| Decomposition $D_2$ (OCR)   | 1                     | 1                     | 1                         |
| Decomposition D <sub>3</sub> (visual classification)                      | 0                     | <i>a</i> <sub>2</sub> | <i>a</i> <sub>3</sub>     |
|   | $(W_1)$               | $(W_2)$               | ( <i>W</i> <sub>3</sub> ) |

FIGURE 8: Example of function  $\mu(s_j, s_m)$  defined for News video, where  $0 < a_2 < a_1 < 1$  and  $0 < a_3 < 1$ , indicating the relevant semantic importance of the modality corresponding to decomposition  $D_i$  for the analysis of segments of type  $W_q$ . According to this example, when performing the multimodal analysis of a studio shot (column  $W_1$ ), visual classification results are not taken into account, while ASR linguistic analysis results have lower importance than OCR linguistic analysis results; similar knowledge is encoded for shots of types  $W_2$  and  $W_3$ , as discussed in more detail in the experimental evaluation section.

indicates the single most plausible top-level concept annotation  $c_{k^*}$  of segment  $s_j$ . In case the application under consideration allows for more than one top-level concept to be assigned to a single segment, several strategies for retaining the *x* most plausible top-level concepts by examining the values of  $\psi(s_j, c_k)$  for all *k* can be defined, according to the specific application needs.

At the second stage, in order to generate a more specific annotation of segment  $s_j$ , the above top-level concept annotation decision has to be propagated to the more specific (i.e., less abstract) concepts of *C*. This is performed by evaluating which subconcept of  $c_{k^*}$  contributed the most to its selection in the previous processing step (similarly to (8), not being limited to immediate children of  $c_{k^*}$ ). In particular, for every  $c_n$  that does not belong to *C'* and for which  $\phi(c_{k^*}, c_n) = 1$  the following value is calculated:

$$\rho(s_j, c_n) = \sum_{m=1}^{J} \tau(s_j, s_m) \cdot \mu(s_j, s_m) \cdot \xi(d_m(c_n)).$$
(12)

Then,

$$n^* = \arg\max_{n} \left( \rho\left(s_j, c_n\right) \right) \tag{13}$$

indicates the single most plausible specific concept annotation  $c_{n^*}$  of segment  $s_j$ . Again, more than one such concepts could also be assigned to  $s_j$  by examining the values of  $\rho(s_j, c_n)$ , if desired.

A couple of examples of the above two-stage process for assigning concept annotations to a visual shot are shown in Figure 9. For the first one (top row of the figure), the shot's actual subject is "war in Iraq" and the keyframe is shown on the left side of the figure. The degrees of confidence with which a concept is associated with this shot on the basis of visual and audio information (taking into account all audio segments that temporally overlap in full or in part with the shot) are shown next to each concept in parenthesis and in brackets, respectively. The solid arrows "(a)" indicate the first stage of the Generalized Concept Overlay: all the evidence (i.e., degrees of confidence) coming from the analysis of the different modalities independently are taken into account according to (10) for estimating a score associating the visual shot with each of the considered top-level domain concepts. These scores are shown next to the two such top-level concepts visible in this figure. The highest of these scores, in this example equal to 0.67 and corresponding to the "unrest, conflict, and war" concept, is selected as dictated by (11). Subsequently, at the second stage of the Generalized Concept Overlay, the decision made on the top-level concept annotation is propagated to the more specific concepts that contributed to this decision, that is, the subconcepts of "unrest, conflict, and war". This is illustrated by the dashed arrows "(b)". As a result of this, a new score is calculated for each of these subconcepts according to (12) (these scores are not shown in this figure for readability purposes), and the largest of these scores indicates the single most plausible specific concept annotation of the shot, which in this example is "war". This result is in agreement with both visual and audio information analysis as well as with the actual subject of the shot as identified during its manual annotation. In the second example of the same figure, the same process is shown for a "windstorms" shot. In this case, the visual and audio information analysis results are not in agreement. ASR linguistic analysis has identified the correct annotation; visual classification does not support the "Windstorms" concept (no such visual classifier has been trained) and identifies "war" as the most plausible annotation and "hurricane" as the second most plausible one. Combining these results and particularly taking into account that both "hurricane" and "windstorms" provide strong evidence in favor of the "disaster and accident" toplevel concept, the correct annotation is identified.

The motivation behind the Generalized Concept Overlay is that it is difficult to directly combine the results of different analysis tools for determining the least abstract concept that should be used to annotate a temporal segment, considering that each individual modality analysis tool defines its own temporal content decomposition, takes into account its own subset of concepts (as also shown in the second example of Figure 9), and has its own overall importance for analysis.

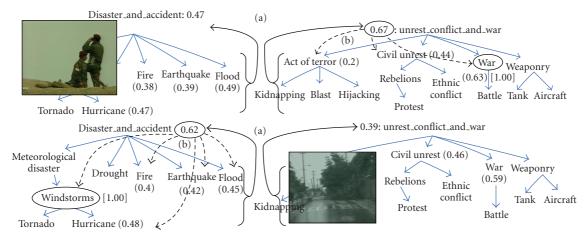


FIGURE 9: Examples of the two-stage process for combining all the individual modality analysis results that is part of the Generalized Concept Overlay.

Instead, taking advantage of the concept hierarchy and the fact that the results of concept detection at any level of this hierarchy can be directly propagated to the higher levels of it, we chose to make a decision on the classification of each temporal segment to the top-level concepts first, where all analysis results can be taken into account, and then at a second stage to follow an inverse process in order to make the final classification decision considering the less abstract concepts as well. A significant advantage of the proposed approach over learning-based ones (e.g., based on Bayesian Networks, Supervised Rank Aggregation approaches [14], etc.) is that no training is required for combining the individual modality analysis results. As shown in (10) and (12), the proposed approach is based on evaluating functions  $\phi$ ,  $\tau$ ,  $\mu$ , and  $\xi$ , whose parameters are not determined from annotated training samples. Only classification of the content to one of the defined segment types (in our experiments,  $W_1$ to  $W_3$ ) is needed, which is independent of the concepts in C and can be realized by one or more generic classifiers (e.g., a studio/nonstudio visual classifier). In contrast to this, taking into account all the above peculiarities of content (e.g., different decompositions, etc.) and that the number of concepts in C may be in the order of hundreds of thousands, it is evident that a learning-based approach would require a very large amount of training data that is not generally available.

#### 7. Experimental Evaluation

7.1. Dataset and System Setup. The proposed news semantic multimodal analysis system was experimentally evaluated on a test dataset of 91 short broadcast news videos from Deutsche Welle (http://www.dw-world.de/), having a total duration of approximately 4 hours. These were selected from a pool of 30 hours of video, on the basis of their relevance with the two top-level concepts depicted in Figure 2, that were chosen for experimentation purposes. About 81% of the videos of the test dataset (74 out of 91) included audio, while very few videos included some frames with captions or

other text that could be extracted by OCR techniques. Some of the videos were composed of an anchor shot followed by several external reporting shots; others included more than one sequences of anchor plus external reporting shots, while some others had no anchor shots at all. Shot segmentation of the test dataset, as discussed in Section 5.1, resulted in a total of 4576 shots. For enabling objective evaluation of the automatic analysis results, each shot was manually annotated with one concept of the ontology. In addition to the shot-level manual annotations, the annotators were asked to associate each entire video with a single concept of the ontology, corresponding to the temporally most dominant topic of the video. Manual annotation of each piece of visual information was performed by two annotators separately and, in case disagreement was observed in their annotations, these were reviewed by a third one.

Three unimodal analysis methods, discussed in Section 5, were employed as the basis for multimodal analysis: automatic speech recognition (ASR) and linguistic analysis of the ASR transcripts, resulting to decomposition  $D_1$ ; linguistic analysis of optical character recognition (OCR) transcripts  $(D_2)$ ; and visual classification based on a combination of global and local features  $(D_3)$ . For training the visual classifiers, a separate training set of Deutsche Welle videos was employed and visual classifiers were trained for the first 7 of the concepts of Table 1. These concepts were selected on the basis of their frequency in the training and testing datasets. For less frequent concepts, such as the remaining ones of Table 1, no visual classifiers were trained; therefore, these could be associated with the multimedia content only by means of linguistic analysis of ASR and OCR transcripts, which was not restricted to a subset of the concepts in C. The audio and linguistic analysis modules were developed with the use of other suitable corpora, not related to the employed test dataset of Deutsche Welle videos.

The decomposition of the visual modality to shots was chosen for serving as the reference decomposition, and based on this three types of content were defined as follows:  $W_1$ : Studio shots;  $W_2$ : External reporting with a dominant face

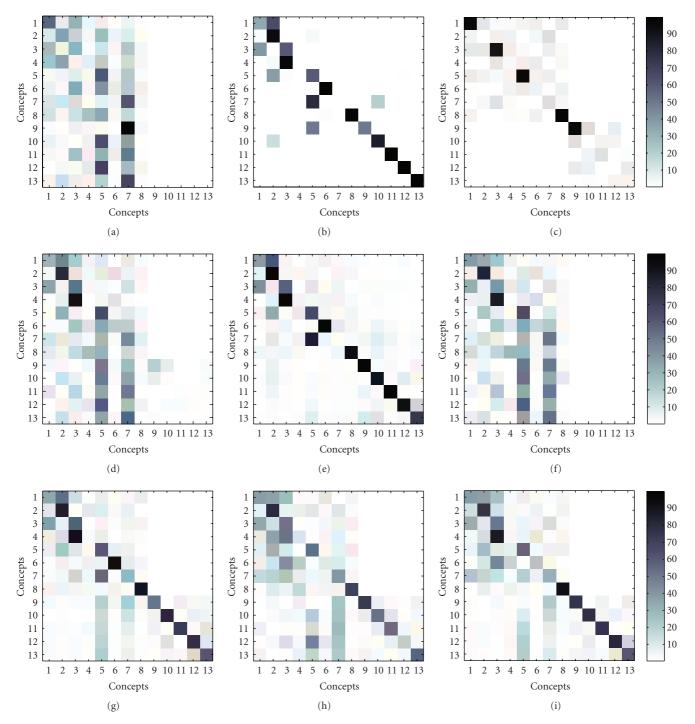


FIGURE 10: Confusion Matrices for the 13 concepts of Table 1—dataset restricted to shots for which more than one single modality analysis results exist. (a) Visual Classification, (b) ASR linguistic analysis, (c) OCR linguistic analysis, (d) Borda Count method [14], (e) Borda Fuse method [14], (f) method of [38], (g) Generalized Concept Overlay with  $\mu(s_j, s_m) = \text{const}$ , and (h) Generalized Concept Overlay with  $\tau(s_j, s_m) = \text{const}$ , (i) Generalized Concept Overlay.

| TABLE 1: Examined concepts. |            |       |          |           |               |               |              |  |  |
|-----------------------------|------------|-------|----------|-----------|---------------|---------------|--------------|--|--|
| Identifier                  | 1          | 2     | 3        | 4         | 5             | 6             | 7            |  |  |
| Concept name                | Earthquake | Fire  | Flood    | Hurricane | War           | Act of terror | Civil unrest |  |  |
| Identifier                  | 8          | 9     | 10       |           | 11            | 12            | 13           |  |  |
| Concept name                | Windstorms | Riots | Massacre |           | Demonstration | Rebellions    | Genocide     |  |  |

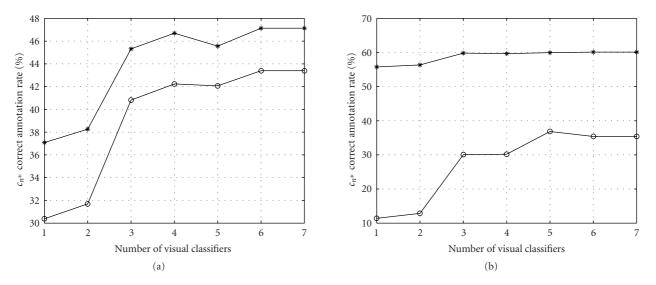


FIGURE 11: Results of visual classification ("-o-") and of Generalized Concept Overlay ("-\*-") when the number of visual classifiers (and, consequently, the performance of visual classification) varies, for the datasets used in (a) Table 2 and (b) Table 3.

| Process  | $C_n *$ | $C_n*$    | $c_{n}*$  | $c_{k^*}$     | $c_{k^*}$ | $c_{k^*}$ |
|--|---------|-----------|-----------|---------------|-----------|-----------|
|  | correct | incorrect | no result | correct       | incorrect | no result |
| Visual classification  | 43.4%   | 56.6%     | 0%        | 81.6%         | 18.4%     | 0%        |
| ASR linguistic analysis  | 8.5%    | 3.2%      | 88.3%     | 11.6%         | 2.9%      | 85.5%     |
| OCR linguistic analysis  | 0.4%    | 0%        | 99.6%     | 0.6%          | 0.2%      | 99.2%     |
| Generalized concept overlay with $\mu(s_j, s_m) = \text{const}$  | 46.5%   | 53.5%     | 0%        | 82.8%         | 17.2%     | 0%        |
| Generalized concept overlay with $\tau(s_j, s_m) = \text{const}$ | 46.2%   | 53.8%     | 0%        | <b>82.9</b> % | 17.1%     | 0%        |
| Generalized concept overlay                                      | 47.1%   | 52.9%     | 0%        | <b>82.9</b> % | 17.1%     | 0%        |
| Multimodal analysis method of [38]                               | 45.1%   | 54.9%     | 0%        | 82.6%         | 17.4%     | 0%        |
| Borda count method [14]  | 45.2%   | 54.8%     | 0%        | 82.8%         | 17.2%     | 0%        |
| Borda fuse method [14]   | 46.9%   | 53.1%     | 0%        | <b>82.9</b> % | 17.1%     | 0%        |

TABLE 2: Multimodal analysis results in the news domain-entire dataset.

on the video; and  $W_3$ : External reporting with no dominant face on the video. A reliable studio/nonstudio visual classifier and a face detector [39] were employed for automatically assigning each shot to one of these three types. Based on partitioning W, function  $\mu$  was heuristically defined as

$$\mu(s_{j}, s_{m}) = \begin{cases} 1, & \text{if } s_{m} \in D_{2}, \\ a_{1}, & \text{if } (s_{m} \in D_{1}, s_{j} \in W_{2}), \\ a_{2}, & \text{if } (s_{m} \in D_{3}, s_{j} \in W_{2}), \\ 0, & \text{if } (s_{m} \in D_{3}, s_{j} \in W_{1}), \\ a_{3}, & \text{otherwise,} \end{cases}$$
(14)

where  $0 < a_2 < a_1 < 1$  and  $0 < a_3 < 1$ . Function  $\mu$  (also illustrated in Figure 8) essentially encodes commonsense knowledge about news analysis, such that audio information is more important than visual information when considering studio shots, and so forth. For experimentation, values  $a_1 = 0.7$  and  $a_2 = a_3 = 0.5$  were chosen.

Functions  $\xi_i$  were defined as  $\xi_i(d_j(\cdot)) = d_j(\cdot)$  for i = 1, 2 (i.e., for the ASR and OCR linguistic analysis results), whereas for the visual classification results,  $\xi_3$  was defined such that values  $\xi_3(d_j(\cdot))$  had a uniform distribution in [0, 1] over a validation dataset.

7.2. Experimental Results. In Table 2, results on the entire test dataset are presented for each of the employed unimodal analysis techniques as well as for the Generalized Concept Overlay of Section 6 and two variants of it, illustrating the effect of modeling functions  $\mu(s_j, s_m)$  and  $\tau(s_j, s_m)$  as constants. Comparison with our earlier work on multimodal analysis of news content [38] and with the unsupervised Borda Count and Borda Fuse methods [14] is also presented in this table. In [38], a multimodal analysis approach that neither exploited the concept hierarchy nor took into account the variability of concept subsets considered by the individual modality analysis tools was proposed; only the concepts belonging to the intersection of the latter subsets were considered for combining the individual modality analysis results. The unsupervised Borda Count and Borda

| Process  | $c_{n}*$      | $c_{n*}$  | $c_{n*}$  | $c_{k^*}$ | $c_{k^*}$ | $c_{k^*}$ |
|--|---------------|-----------|-----------|-----------|-----------|-----------|
|  | correct       | incorrect | no result | correct   | incorrect | no result |
| Visual classification  | 35.4%         | 64.6%     | 0%        | 73.1%     | 26.9%     | 0%        |
| ASR linguistic analysis  | 56.5%         | 39.6%     | 3.9%      | 76.9%     | 19.2%     | 3.9%      |
| OCR linguistic analysis  | 2.3%          | 0%        | 97.7%     | 3.8%      | 0%        | 96.2%     |
| Generalized concept overlay with $\mu(s_j, s_m) = \text{const}$  | 56.4%         | 43.6%     | 0%        | 80.8%     | 19.2%     | 0%        |
| Generalized concept overlay with $\tau(s_j, s_m) = \text{const}$ | 54.2%         | 45.8%     | 0%        | 81.8%     | 18.2%     | 0%        |
| Generalized concept overlay                                      | <b>60.1</b> % | 39.9%     | 0%        | 81.2%     | 18.8%     | 0%        |
| Multimodal analysis method of [38]                               | 47%           | 53%       | 0%        | 79.8%     | 20.2%     | 0%        |
| Borda Count method [14]  | 47.5%         | 52.5%     | 0%        | 80.9%     | 19.1%     | 0%        |
| Borda Fuse method [14]   | 58.8%         | 41.2%     | 0%        | 81.6%     | 18.4%     | 0%        |

TABLE 3: Multimodal analysis results in the news domain—dataset restricted to shots for which more than one single modality analysis results exist.

Fuse methods [14, 40] on the other hand consider all concepts of the employed ontology. They both treat the results of each unimodal analysis technique as a ranked list, thus taking into account the rank of every concept in the list (i.e., first, second, etc.) rather than the actual values  $d_i(\cdot)$ . This can be perceived as imposing a normalization of the unimodal analysis results that is different than that of functions  $\xi_i$  used in (10) and (12). They then fuse the ranked lists produced by the different unimodal analysis tools. The rank of each result serves as the sole criterion in Borda Count, which averages the ranks of a given concept over all ranked lists. In Borda Fuse, the rank and the weight of each modality according to the type of the examined segment (i.e., the values of function  $\mu$  used in (10) and (12)) are employed. Using the latter, Borda Fuse calculates a weighted average of the ranks of a given concept over all ranked lists. In both methods, the concept for which the estimated average rank indicates that this concept appears higher than all other concepts in the fused list is selected as the final outcome of fusion. It can be seen in Table 2 that the proposed Generalized Concept Overlay approach outperforms the former approaches, achieving a higher correct annotation rate for the specific concepts  $c_{n^*}$  extracted by multimodal analysis, and a higher or equal correct annotation rate for the top-level concepts  $c_{k^*}$ . The complete Generalized Concept Overlay also outperforms simpler variants of it that model certain functions as constants (i.e., they consider  $\mu(s_i, s_m) =$ const and  $\tau(s_i, s_m) = \text{const, resp.}$ ). It should be noted that the proposed approach does not require training with the use of a manually annotated dataset for combining the individual modality analysis results; thus, it may be particularly suitable to the large-scale semantic classification problem where training is difficult.

In Table 3, similar results on a subset of the test dataset are presented; this subset comprises the 692 shots (out of the 4576 in total) for which at least two of the single modality analysis tools have returned an analysis result (e.g., shots for which at least one partially overlapping, in terms of time, audio segment exists and has been assigned to a semantic class by means of ASR and linguistic analysis). The motivation behind presenting results for this subset of the dataset is to illustrate more clearly the effect of different approaches in the way the different unimodal analysis results are combined. It can be seen in Table 3 that, for this subset of the dataset, the majority of the results have been produced by visual classification and by linguistic analysis of ASR transcripts; due to the nature of the employed dataset (it is not rich in text that could be extracted by means of OCR), OCR linguistic analysis results are scarce. Concerning the multimodal analysis techniques, it can be seen that the proposed approach significantly outperforms, in terms of the specific concepts  $c_{n^*}$  extracted by multimodal analysis, our earlier work [38] (Chi Square = 24.05, df = 1, P < .05) and the Borda Count method (Chi Square = 22.0, df = 1, P < .05). The impact of function  $\tau(s_i, s_m)$ , in comparison to defining  $\tau(s_j, s_m) = \text{const}$ , is also shown to be significant (Chi Square = 4.96, df = 1, P < .05). Less pronounced differences (thus also of lower statistical significance) in favor of the proposed approach are observed when comparing with the Borda Fuse method and when considering the annotation rates for the top-level concepts  $c_{k^*}$ . In evaluating the statistical significance of annotation performance differences in the above pairwise comparisons of approaches, the null hypothesis was defined as the annotation performance being the same for both approaches in each pair.

Corresponding confusion matrices for the the 692 shots and the 13 most frequent concepts of the dataset (in the order they are listed in Table 1) are shown in Figure 10. For visualization purposes, only the shots that were actually annotated with a concept are taken into account in each of these confusion matrices (thus, the "no result" outcomes of each analysis method were ignored when calculating the corresponding percentages, ranging from 0% to 100%). This was necessary for effectively visualizing, for example, the OCR linguistic analysis results that are scarce; consequently, the colors in Figure 10 are not comparable between Figures 10(b) and 10(c) and between any of these two and any of the remaining confusion matrices of the same figure. It can be seen in Figure 10 that visual classification is most susceptible to annotation errors; ASR linguistic analysis is considerably more reliable overall but still consistently confuses between certain pairs of concepts (e.g., 3: Flood and 4: Hurricane; 5: War and 7: Civil Unrest); OCR linguistic analysis is very reliable. The Borda Count method and the method of [38] are shown to be moderately successful in combing the different unimodal analysis results, since they are strongly affected by errors coming primarily from visual analysis. The Borda Fuse method and the proposed one are shown to be more successful, with the Borda Fuse method being affected a bit more by errors coming from ASR linguistic analysis (e.g., consistently confusing between concepts 5: War and 7: Civil Unrest), while the proposed approach is shown to handle better some of the errors coming from ASR linguistic analysis at the expense of being somewhat more sensitive to erroneous visual analysis results.

In order to examine how visual classification accuracy, which can clearly vary significantly depending on the choice of visual classifiers, the available training data, and so forth, affects the overall multimodal analysis, an experiment was carried out where only subsets of the previously trained classifiers rather than all of them were considered. In particular, the 7 visual classifiers were ordered according to the prevalence of their corresponding concepts in the test set, in ascending order, and experiments were carried out by excluding the first of them, the first two, the first three, and so forth. In the last experiment of this series, only one visual classifier that corresponds to the single most prevalent concept in our test set was considered. The results are presented in Figure 11, indicating that when the number of visual classifiers is reduced and consequently lower correct annotation rates are achieved by visual classification, the proposed multimodal analysis approach succeeds to compensate this loss to a significant extent by exploiting the results of the other modalities, providing that such results exist. Still, it is evident from the same figure that visual classification does contribute to the final outcome of multimodal analysis; this contribution is small, in the portion of the dataset for which other modality analysis results exist, and far more significant when considering the entire dataset.

Another experiment was subsequently carried out, making the assumption that each entire video (rather than each individual shot) is about a single subject; thus all shots of it can and should be associated with a single concept of the employed ontology. The motivation behind this experiment was to test the influence of the selected content decomposition to the performance of multimodal analysis and in particular the possible improvement of analysis results when considering larger story-telling units (scenes) rather than visual shots as the elementary pieces of video information; taking the whole video as a scene is clearly the extreme case. In this experiment, the manually generated video-level annotations discussed at the beginning of this section were used as ground truth annotation for evaluation purposes, in place of the shot-level ones. The Generalized Concept Overlay technique was adapted to this scenario by being applied at the shot level, as in all previous experiments, and its results being subsequently evaluated by a simple voting mechanism which selected the single most dominant concept across all shots as the final annotation for the entire video. As a result, the correct classification rates of the Generalized Concept Overlay rose to 75.3% and 93.1% for  $c_{n^*}$  and  $c_{k^*}$ , respectively, on the entire dataset, showing a significant increase compared to the results of Table 2.

Finally, it should be noted that besides the annotation of each shot or other temporal segment with one concept of the ontology expressing the thematic categorization of the news item, the result of multimodal analysis can also include additional semantic information such as location names and person names. These are extracted as part of the linguistic analysis of ASR and OCR transcripts. Although elaborate techniques for combining such additional information can be envisaged (e.g., similar to the one presented in this work for the thematic categorization results), in practice a simple unification approach was adopted in our experiments; more specifically, the additional information coming from ASR and OCR analysis was accumulated, and in case of contradictory information, the OCR results prevailed. As a result, over one third of the shots in our dataset was automatically annotated with information that is in addition to  $c_{n^*}$ ,  $c_{k^*}$ ; out of this, approximately 55% concerned location names, 22% person names, and 6% dates. The evaluation of the correctness of these results is beyond the scope of this work. since the focus is on the thematic categorization results discussed above, but these clearly indicate the added value of using multiple specialized individual modality analysis tools in a multimodal analysis scheme, rather than attempting to jointly process at a single stage all low-level features that come from the different modalities.

## 8. Conclusions

The detailed analysis of the results in the previous section, where the corresponding confusion matrices were presented, revealed that multimodal analysis using the proposed Generalized Concept Overlay approach succeeds in improving the results of any of the employed unimodal analysis methods. Nevertheless, it is evident that the breadth of this improvement is greatly dependent upon the individual modality analysis results that serve as input to multimodal analysis. These, in turn, depend not only on the performance of the employed single-modality analysis methods but also (and maybe even to a greater degree) on the specifics of the content itself, that is, whether it contains audio or not, whether news-related legends are typically projected or not on the screen by the news agency producing the content or by the broadcaster, and so forth. In the case of the employed Deutsche Welle dataset, it was shown that although ASR and OCR linguistic analysis can provide valuable and very accurate information about the semantics of the content, treating the video at the shot level results in relatively few shots being annotated by these components with anything other than "no result". This is consistent with the nature of broadcast news, where one of the prevailing journalistic rules in preparing the presentation of news can be summarized as "let the images tell their own story". Consequently, the exact type of the incident in question (e.g., a "fire") is not verbally repeated in every visual shot; it is more often announced by an anchorperson during a "studio" shot, followed by several shots where the visual modality prevails and few, if any, semantics are conveyed by speech or legends on the screen. This is the reason why, when larger story-telling units are considered as the elementary pieces of news information (e.g., as in our last experiment, where the entire video was treated as a single story-telling unit), considerable increase in the correct semantic annotation rates can be achieved. On the other hand, though, linguistic analysis of ASR and OCR transcripts is invaluable in extracting additional semantic metadata such as location names and person names, which are beyond the reach of any visual analysis technique unless considering very restricted application scenarios (e.g., involving a limited number of people that appear on the video and for which appropriate face recognition models can be trained, etc.). These conclusions provide the guidelines for the use of the analysis techniques presented in this work as well as of other similar techniques in real-life multimedia news management applications.

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