

# An intelligent man-machine interface - multi-robot control adapted for task engagement based on single-trial detectability of P300

Elsa Andrea Kirchner<sup>1,2,\*</sup>, Su Kyoung Kim<sup>2</sup>, Marc Tabie<sup>2</sup>, Hendrik Wöhrle<sup>2</sup>, Michael Maurus<sup>2</sup>, and Frank Kirchner<sup>1,2</sup>

<sup>1</sup>Research Group Robotics, Mathematic and Computer Science, University of Bremen, Bremen, Germany

<sup>2</sup>Robotics Innovation Center (RIC), German Research Center for Artificial Intelligence (DFKI GmbH), Bremen, Germany

Correspondence\*:

Elsa Andrea Kirchner

Research Group Robotics, Mathematic and Computer Science, University of Bremen, Robert-Hooke-Strasse 1, 28359 Bremen, Germany, ekir@informatik.uni-bremen.de

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## 2 ABSTRACT

3 Advanced man-machine interfaces (MMIs) are being developed for teleoperating robots at  
4 remote and hardly accessible places. Such MMIs make use of a virtual environment and can  
5 therefore make the operator immerse him-/herself into the environment of the robot. In this  
6 paper, we present our developed MMI for multi-robot control. Our MMI can adapt to changes in  
7 task load and task engagement online. Applying our approach of embedded Brain Reading we  
8 improve user support and efficiency of interaction. The level of task engagement was inferred  
9 from the single-trial detectability of P300-related brain activity that was naturally evoked during  
10 interaction. With our approach no secondary task is needed to measure task load. It is based on  
11 research results on the single-stimulus paradigm, distribution of brain resources and its effect  
12 on the P300 event-related component. It further considers effects of the modulation caused by  
13 a delayed reaction time on the P300 component evoked by complex responses to task-relevant  
14 messages. We prove our concept using single-trial based machine learning analysis, analysis  
15 of averaged event-related potentials and behavioral analysis. As main results we show (1) a  
16 significant improvement of runtime needed to perform the interaction tasks compared to a setting  
17 in which all subjects could easily perform the tasks. We show that (2) the single-trial detectability  
18 of the event-related potential P300 can be used to measure the changes in task load and task  
19 engagement during complex interaction while also being sensitive to the level of experience  
20 of the operator and (3) can be used to adapt the MMI individually to the different needs of  
21 users without increasing total workload. Our online adaptation of the proposed MMI is based  
22 on a continuous supervision of the operator's cognitive resources by means of embedded Brain  
23 Reading. Operators with different qualifications or capabilities receive only as many tasks as  
24 they can perform to avoid mental overload as well as mental underload.

25 **Keywords:** EEG, P300, machine learning, space robotics, teleoperation, task load, man-machine interaction, embedded brain reading

## 1 INTRODUCTION

26 Human-robot interaction with semi-autonomous robots has to be improved to be safe and intuitive. This  
27 can be achieved by (1) building robots with advanced "on-board" solutions that support natural interaction  
28 behavior between human and robot (**Kirchner et al.**, 2015) and (2) by developing intelligent man-machine  
29 interfaces (MMIs). Especially in cases of tele-operating robots at remote places the MMI has to be easy,  
30 intuitive and comfortable.

31 Usually only experienced people are chosen to remotely operate robotic systems (**Cornell et al.**, 2012),  
32 since their performance is robust. During remote control of several robots in a complex mission, task load  
33 and task engagement change tremendously over time, which can lead to mental over- or underload as well  
34 as fatigue. Therefore, an online-adaptable MMI can be applied to act on these changes. For this, reliable  
35 measures for online changes in the human's state must be detected (**Allanson and Fairclough**, 2004).  
36 Such realtime indicators have to consider theories about brain capacity and resources (**Kahneman**, 1973;  
37 **Wickens**, 1984, 1992, 2008), which propose that brain resources are limited and must be shared between  
38 tasks. Comprehensive work showed that certain patterns in the electroencephalogram (EEG), e.g., the  
39 amplitude of the event-related potential (ERP) P300 (**Prinzel et al.**, 2003), or ratios of EEG power bands  
40 like alpha, beta or theta bands (**Pope et al.**, 1995), can be used to measure the processing capability  
41 of the brain, mental workload and task demands. In earlier work from **Pope et al.** (1995) it is shown  
42 that an EEG-based index of user engagement and arousal could indeed be used to, i.e., adapt the level  
43 of system automation in response to changes in mental workload demands. It was found that especially  
44 the P300 is a reliable measure for changes in task load (**Kok**, 2001; **Prinzel et al.**, 2003). Earlier work  
45 that examined the P300 in response to primary and secondary task demands showed that an increase in  
46 demands on the primary task resulted in fewer resources for the secondary task accompanied by a smaller  
47 P300 amplitude (**Isreal et al.**, 1980). Many studies make use of the dual-task design (**Isreal et al.**, 1980;  
48 **Prinzel et al.**, 2003) to detect an increase in workload or task load in the primary task by analyzing the  
49 P300 amplitude evoked by the secondary task, e.g., listening to auditory stimuli presented in an oddball  
50 fashion (**Prinzel et al.**, 2003) or P300 that is evoked by ignored probes (**Kramer et al.**, 1995).

51 With the focus on online user state detection based on the analysis of brain activity, which is naturally  
52 evoked during human-machine interaction and deeply embedded into the systems control, embedded  
53 Brain Reading (eBR) was developed (**Kirchner and Drechsler**, 2013; **Kirchner**, 2014, 2015). The main  
54 focus of embedded Brain Reading is to passively infer on the human's intention to implicitly improve  
55 interfaces like an exoskeleton which is used for explicit interaction, such that the intended interaction  
56 or behavior can be supported best (**Folgheraiter et al.**, 2012; **Kirchner et al.**, 2013a,b, 2014). However,  
57 embedded Brain Reading can also be applied to passively infer on the users' neurophysiological state, such  
58 as their current workload or task load, to adapt an interface implicitly in such a way that the user is neither  
59 stressed nor bored (**Kirchner et al.**, 2010, 2013b; **Wöhrle and Kirchner**, 2014a) which would both have  
60 negative impact on human-robot interaction. We already showed that eBR can utilize P300-related activity  
61 to infer, whether subjects recognize and will respond to important task messages, which were presented  
62 interleaved with task-irrelevant messages in an oddball fashion, while performing a complex interaction  
63 task like playing a labyrinth game (**Kirchner et al.**, 2013b). In a later work we showed that eBR can  
64 indeed be applied to improve interaction in an application scenario in which subjects had to respond to  
65 warnings interleaved with task-irrelevant status messages while remotely controlling a robotic arm via an  
66 exoskeleton (**Wöhrle and Kirchner**, 2014a). In both cases, the information about the operator's capability  
67 of recognizing task-relevant warnings was used to adapt the developed MMI with respect to the timing  
68 of repetitions of task messages. To this end, the MMI was adapted before the operator would respond  
69 to the task message. In our previous work, subjects had to perform two tasks: controlling a machine  
70 and responding to task-relevant warnings. Thus, we did not make use of the primary and secondary task  
71 design just for the purpose of measuring task load on the user. The second task was indeed required  
72 to be performed by the user with the goal to estimate an operator's capability to perform two tasks at  
73 the same time. We also believe that even when using ignored probes to measure load on the user, i.e.,  
74 workload (**Kramer et al.**, 1995), any extra stimulation which is only added for the purpose of measuring  
75 load on the user will likely disturb the operator in a complex and demanding interaction task. Instead, we

76 used the single-trial detectability of the naturally evoked P300 components in case that rare task-relevant  
77 stimuli were presented (i.e., warnings that anyway requested responses of the operator) and had to be  
78 answered as index of load, here, task load and task engagement. However, in many real world applications  
79 the occurrence of task-relevant target stimuli is likely not interleaved consistently with task-irrelevant  
80 stimuli as it was implemented in the previous studies by using the oddball design. Thus, it is of interest  
81 to investigate whether single-target stimuli successfully and reliably evoke P300 ERP components during  
82 human-machine interaction, as suggested by comprehensive work performed under controlled conditions  
83 of the single-stimulus paradigm (Mertens and Polich, 1997; Polich and Margala, 1997). Polich and  
84 Margala (1997) for example showed, that single-target stimuli evoke P300 components with similar  
85 characteristics as target stimuli presented in an oddball fashion as long as the probability and the inter  
86 target interval (ITI) were kept the same.

87 One research interest of the current work is therefore to investigate whether P300 ERP components are  
88 reliably evoked under application conditions in case of a single-stimulus presentation that was naturally  
89 embedded into a human-machine interaction task. We further investigate whether eBR can be used to  
90 adapt the frequency of task messages that are presented to the user by an MMI instead of modulating task  
91 repetitions as in a former work (Kirchner et al., 2013b; Wöhrle and Kirchner, 2014a). The adaptation  
92 of the MMI should again be performed online. However, the proposed MMI is designed for multi-robot  
93 control. Hence, an adaptation of the MMI with respect to the inferred task load and the users current task  
94 engagement in preceding, still ongoing, tasks for other robots can be investigated. Again, task engagement  
95 or task load was inferred from P300-related ERP activity that is naturally evoked during interaction. Both  
96 a high task load and a high task engagement to a preceding task were expected to reduce the amplitude of  
97 P300-related activity evoked by a new task message. In the presented work, subjects performed only one  
98 type of task: controlling different robots with respect to different requested tasks. Hence, we break down  
99 dual-task execution into sequential and timely overlapping task execution to investigate the influence  
100 of task load and task engagement between subsequent tasks. We again show that it is not necessary to  
101 artificially add an extra task or probe, like in the dual task or ignored-probe design, to evoke P300-related  
102 activity for measuring task load and task engagement. Instead we directly infer the task load and task  
103 engagement of the operator from the P300-activity evoked by task messages.

104 Hence, our approach matches natural requirements on the user during robot control since it avoids to  
105 add potentially disturbing stimuli, like auditory stimuli, just for the goal to measure and adapt for task  
106 load.



**Figure 1.** Immersive virtual 3D multi-robot control using a CAVE supported by embedded Brain Reading (eBR).

107 We further present and describe the developed MMI, which makes use of a virtual control environment,  
108 i.e., a Cave Automatic Virtual Environment (CAVE) (Fig. 1). This MMI can be adapted based on the  
109 changes in task engagement of the user measured by EEG, i.e., P300-related ERP activity. While the  
110 presentation of each task-relevant message was expected to evoke a P300 we further assumed that the  
111 amplitude of a single-trial P300 evoked by a new task message is reduced in case that the user is still  
112 involved in executing a previous task. This is due to the fact that mental resources are still bound to the  
113 previous task. The more frequently such task conflicts occurred the stronger we expected a reduction in  
114 averaged P300 peak amplitude. We further assumed that the expected changes in P300 amplitude were  
115 mainly caused by effects like task engagement or task load but not by target probability, since the inter-  
116 stimulus interval (ISI) between stimuli was very long. **Polich** (1990) showed by means of an auditory  
117 discrimination task that the target probability has no effect on P300 amplitude in case of longer ISIs, i.e.,  
118 ISIs longer than 6 to 8 s (**Polich**, 2007). For longer ISIs, the probability effect (**Duncan-Johnson and**  
119 **Donchin**, 1977; **Tueting et al.**, 1970) is missing since brain resources can be redirected fast enough to  
120 process a new target stimulus.

121 It is important to state that in the present work the level of task load and task engagement as well as the  
122 occurrence of task conflicts may strongly depend on different factors, e.g., the general capability of the  
123 user in controlling the robots, fatigue levels or secondary requirements on attention that are not related  
124 to the main task, i.e., distractions of any kind that may occur while the operator was controlling the  
125 robots. While the concept of workload is distinct from the concept of multiple resource theory (**Wickens**,  
126 2008), both concepts do overlap in real world applications and it is not always clear what contributes  
127 most. Moreover, additional mechanisms like confusion, cooperation between task elements like ongoing  
128 task engagement to the preceding task and unwanted diversion of attention influence the allocation of  
129 brain resources (**Wickens**, 2008). Additionally, as known from educational research, changes in the  
130 motivational state influence perception of workload, task complexity and cognitive strategies (**Kyndt**  
131 **et al.**, 2011). Real world applications are therefore not a good paradigm to decouple components and  
132 dimensions of influencing parameters, but they can be used as a test case on whether certain measures  
133 can be used to predict the general state and capacities of a subject. Since the goal of our study was to  
134 measure the current task engagement or task load of an operator and to use this measure to adapt an MMI  
135 continuously to avoid an overall state of overload, we took measures to avoid excessive workload.

136 In summary, the scope of this study was to artificially evoke task conflicts to (I) not only show that P300-  
137 related activity was naturally evoked when task messages were presented, but also that it was indeed  
138 modulated by generally high demands on the operator and by task engagement to previous tasks and  
139 (II) that the detectability of P300-related activity could be used to adapt an MMI with regards to task  
140 engagement and therefore enabling a kind of steady-state task involvement. This should result in higher  
141 subjective contentment and high overall task performance.

142 The paper is structured as follows. In Sec. 2 we describe the experimental setting, i.e., the developed  
143 MMI, the kind of human-machine interaction task which can be performed and the interaction tasks that  
144 the subjects had to solve, the experiments that were performed for this work, and data recording procedure.  
145 We further describe our research goals and hypotheses in more detail and describe the performed data  
146 processing and analysis. In Sec. 3 we describe our results with respect to behavioral, machine learning  
147 and ERP average analysis. Finally in Sec. 4 we will discuss the outcome of our work and its relevance for  
148 the improvement of MMIs for multi-robot control.

## 2 MATERIAL & METHODS

### 2.1 EXPERIMENTAL DESIGN

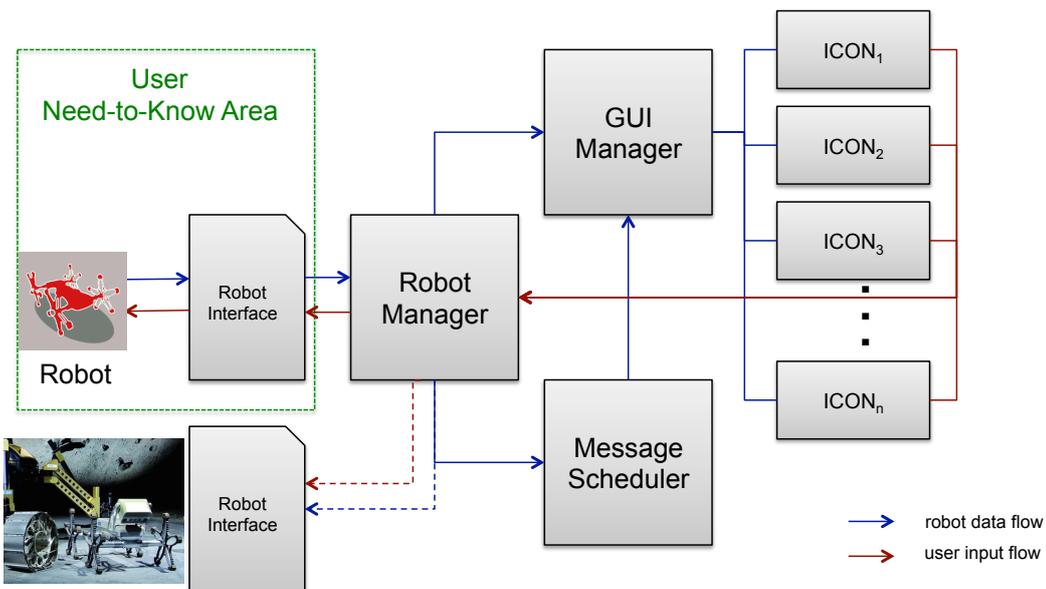
149 We developed an experimental setup in which a subject can control several simulated robots. For this, we  
150 designed a virtual environment using the in-house developed software "Machina Arte Robotum Simulans"  
151 (MARS) (**Rommerman et al.**, 2009; **DFKI - RIC**, 2015), which can be run as a 3D environment in, e.g.,

152 a CAVE (see Fig. 1), as a 2D environment on a standard personal computer and monitors or a multi-  
153 screen system (see Fig. 2). In both environments the operator can use different input devices to control  
154 the robot, e.g., a 3D mouse, a wand, an exoskeleton or an eye tracking device. In the future, the developed  
155 virtual 3D environment will be used to control real robots. To allow this, we use a physical simulation  
156 with close to realistic physical simulations of the real robots developed at our institute. In this work a  
157 2D multi-screen system was used as the environment and a wand was used as the interface to control the  
158 simulated robots in the simulated environment. The used wand is a hardware device and functions in a 3D  
159 environment similar to a mouse in a 2D environment. It is tracked in 3D space using an ultrasound-based  
160 tracking system combined with an IMU and has five buttons as well as a pressure-sensitive joystick as  
161 input options. We used the inertial-ultrasonic hybrid tracking device InterSense IS-900 (Thales Visionix,  
162 Inc., Billerica, USA) in our experiments.

163 *2.1.1 Human-robot-interaction:* In general, the task of the operator in the multi-robot control  
164 environment (see Fig. 2) was to supervise all robots and to assign new tasks to individual robots as  
165 indicated by messages presented to the user on the screen (see Fig. 3A and B upper part for examples of  
166 different messages). Individual robots were labeled with different colors. Task messages were presented  
167 as icon based widgets supporting fast recognition by the operator. The operator used the interface to select  
168 a robot he or she wanted to control by either selecting the robot directly or by selecting the robot's icon  
169 in the upper part of the middle screen (see Fig. 3A: 2). Moreover, information about the chosen system  
170 was presented to the operator on the right screen via an icon based information panel. Information such as  
171 the robot's name, its energy level, its current task as well as robot control commands were presented here  
172 (see Fig. 3A: middle picture lower right corner). On the left monitor, tasks for the operator were listed as  
173 soon as the operator confirmed that he/she had seen the message by clicking on the appropriate robot icon  
174 on the monitor in the middle. By selecting the robot's icon with a double click, the virtual camera was  
175 additionally moved such that the chosen robot was in the focus of the operator. After selecting a robot, the  
176 operator can issue a task by clicking the corresponding robot control command icon. (see Fig. 3A: 4). In  
177 case that an operator was not sure or did not recognize the robot to whom a task was assigned, he or she  
178 could select an unknown icon displaying a grey robot with a question mark (see Fig. 3A). After clicking  
179 the unknown icon, all the missed tasks were displayed in the task list on the left screen. However, in the  
180 experiments presented here this grey robot button was disabled to force the subjects to focus on the task  
181 messages as much as possible. In case that a user did not recognize the task message correctly she or he  
182 had to wait for the automatic repetition of the task message.

183 *2.1.2 Interaction tasks:* As mentioned in section 2.1.1 the operator had to fulfill different tasks with  
184 the robots. Within the experiment there were three kinds of tasks with varying complexity:

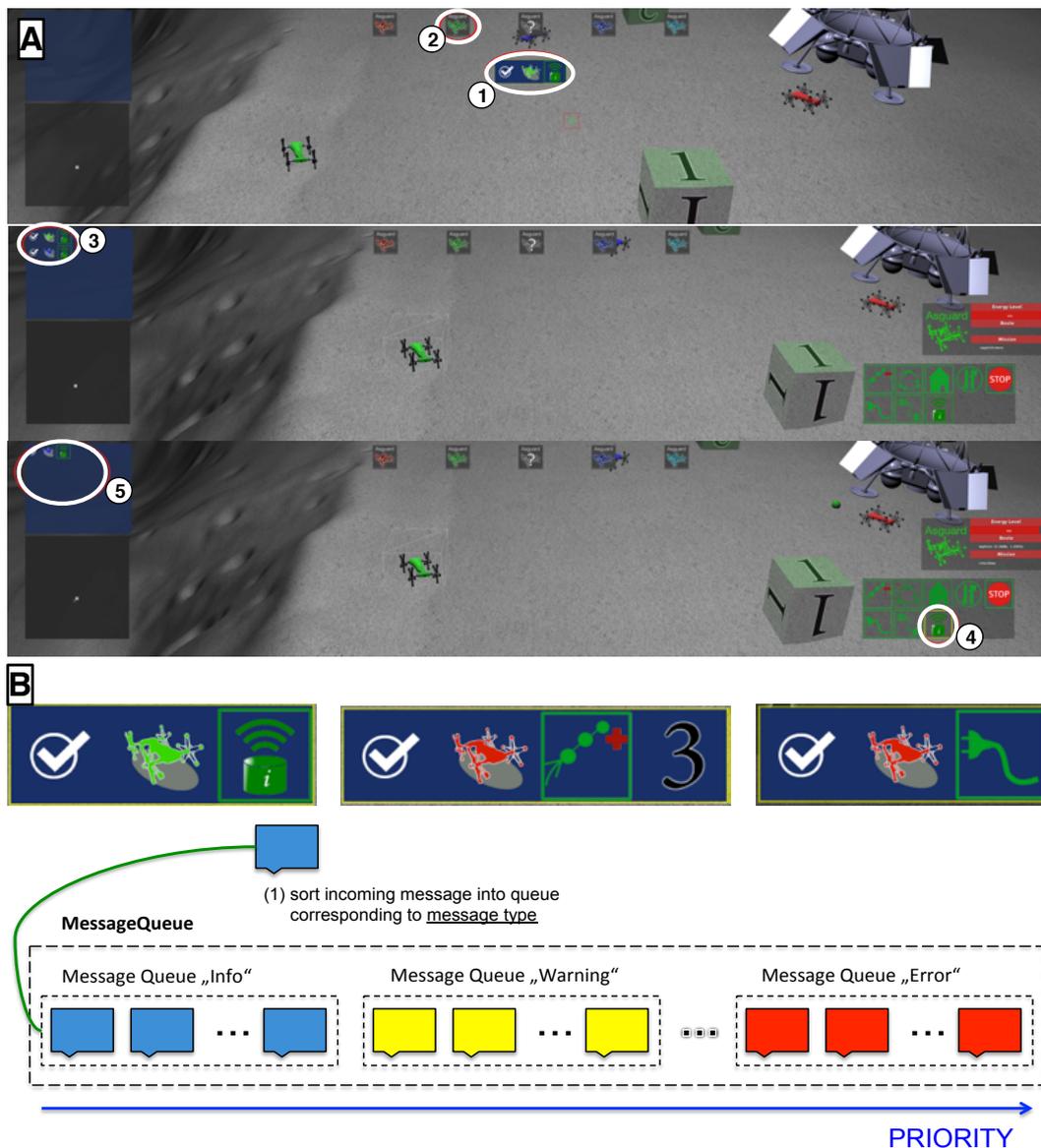
- 185 • **Send message** The task with the lowest complexity is sending a message. This task can be solved  
186 by selecting the corresponding robot and clicking on the send-message icon within the robots control  
187 elements (see Fig. 3A bottom number 4). An example of such a message for the green robot can be  
188 seen in the upper left part of Fig. 3B.
- 189 • **Go to landmark** The task with a medium complexity is the navigation task. Within the experiment  
190 there are five different landmarks (for example see the cube labeled with 1 in Fig. 3A). The goal of  
191 this task is to navigate the robot to one of these landmarks. Therefore the operator needs to select  
192 the robot and afterwards plan the path by creating waypoints. Waypoints will be put at the position  
193 of the cursor, when clicking a specific button. The robot will consecutively travel from waypoint to  
194 waypoint on straight lines. When the robot reaches the landmark the task is fulfilled. An example of  
195 such a message for the red robot with target position 3 can be seen in the upper middle part of Fig. 3B.
- 196 • **Recharge robot** The most complex task is recharging the robot. Again the correct robot needs to be  
197 selected first. Afterwards the operator has to plan a path to the lander (see in the top right corner of  
198 Fig. 3A). The path planning is realized as explained above in the "Go to landmark" section. After  
199 reaching the lander the robot needs to be selected again and the recharge icon from the robot's control



**Figure 2.** Experimental setup. Upper part: Virtual multi-robot control in 2D using a multi-PC system supported by embedded Brain Reading (eBR). Lower part: Interaction is controlled by different software managers and schedulers. Widget-based icons are used to display information about the robots, messages for the user and to select robot commands. The user "Need-to-Know Area" is the part of the system visible to the user. The robot interface with connection to the real robots (depicted by dotted lines) is not yet implemented.

200  
201

elements needs to be activated by clicking on it. This task is more complex than the "Go to landmark" task due to a gap in between the two stages of the task and therefore the operator must track the robot's



**Figure 3.** Description of experimental setting and tasks performed by the operator. A (top): initial state, a task message was shown to the operator (1). The message contained information about the type of the task (e.g., send a message) and the corresponding robot (e.g., the green robot). The subjects had to confirm the task by clicking on a response button (2). A (middle): after the task was confirmed, it was shown in the task manager (3). A (bottom): when the green robot was selected, a menu with all possible control commands was shown. In this example, the mission could be accomplished by clicking on the send-message button of the control menu (4). When a task was accomplished, it was removed from the task manager (5). B: The scenario contained three possible tasks, which were depicted by an intuitive symbol. All tasks were related to a specific robot, encoded by a colored symbol, see the following examples. B (top left): send a message with the green robot. B (top middle): send the red robot to waypoint 3. B (top right): recharge the red robot. Different robots (encoded by color) and different task messages were randomly combined. B (bottom): messages are sorted in order as they are presented. Some messages (repetitions of tasks) get a higher priority and will be presented earlier.

202 state. The operator may also forget to click the recharge icon after the robot reached the lander. An  
203 example of such a message for the red robot can be seen in the upper right part of Fig. 3B.

204 All tasks were pseudo-randomly chosen, such that no more than one task at a time was assigned per robot.  
205 When creating a new "Go to Landmark" task for a specific robot the robot's distance to the landmarks  
206 will be computed first. In order to solve the task the robot has to be in a specific radius around the chosen  
207 landmark. If the robot is already within the specific radius the new task would directly be solved when the  
208 robot is selected. In such a case the target landmark will be chosen among the other landmarks. Further,  
209 there was an automated mechanism which generated a "Recharge Robot" task in case that the energy level  
210 of a robot dropped below a certain value. This was necessary to ensure that a robot would remain fully  
211 functional. If a robot runs out of energy it would get stuck at its position and no more tasks could be  
212 solved by this robot.

213 When a message was presented requesting interaction the first response of the user like selecting the  
214 correct robot was counted as correct behavior. The message was not repeated. On the other hand, a  
215 predefined response time (in our experiments 13 s) and a predefined ISI was set for the operator. The  
216 predefined ISI was important for our experiments and research questions as will be explained in Sec. 2.4.  
217 Task messages were put into a message queue. To avoid unfair scheduling due to different urgency of  
218 information pending messages may change their priority over time (see Fig. 3B lower part). So far it is  
219 implemented that a message is repeated as a warning in case that a complex task with longer duration is  
220 started, i.e., a robot is sent to a landmark, but does not arrive after a certain amount of time. Since the  
221 robot might have got stuck the warning is repeated with higher priority. To give the user an overview on  
222 initiated but still running tasks, they were visualized in a icon panel in the upper left corner of the left  
223 monitor in the order as they appeared with the newest depicted on the top (see Fig. 3A: 5). As soon as a  
224 task was fulfilled the task message was removed.

## 2.2 PERFORMED EXPERIMENTS

225 Six subjects participated in the study. All subjects were male with normal or corrected to normal vision  
226 and aged between 20 and 38 years (mean: 28.74, SD: 6.92). All subjects were intensively trained in the  
227 scenario on a different day to get used to the tasks, i.e., to control the robots by using the developed MMI.  
228 On the same day of the study just before data recording subjects were asked to get comfortable with the  
229 scenario. The study consisted of 6 runs, performed in the same order. In each run, subjects had to complete  
230 30 tasks. The response behavior was supervised and logged by the message scheduler (see Fig. 2 lower  
231 part).

232 In case no response was detected within 13 s after presentation of a task message, the same task message  
233 was again attached to the message queue. Since the queue is implemented as a FIFO (first in first out), the  
234 message is repeated after presentation of all other messages within the queue.

235 Task messages (Fig. 3 top illustration and Fig. 3B) were presented for 1.1 s. The duration of presentation  
236 was determined by empirical tests with a different group of 4 subjects. The goal was to keep the duration  
237 of message presentation as short as possible to allow the evaluation of event-related activity in the EEG  
238 while ensuring that subjects were able to recognize and understand the presented messages.

239 *2.2.1 Adaptation of the inter-stimulus interval (ISI):* Between the 6 runs experimental conditions were  
240 varied with respect to the ISI (Tab. 2-1: EEG data). For runs 1 to 4 ISIs were fixed. We used two different  
241 ISIs: a long ISI (25 s) in runs 1 and 2 and a short ISI (15 s) in runs 3 and 4. In both cases an additional  
242 random jitter of  $\pm 5$  s was added. Appropriate time intervals for long and short ISIs were empirically  
243 determined beforehand by tests with 4 subjects that were not involved in this study. The time interval  
244 for the short ISI was chosen such that the overall workload or overall task load caused by the message  
245 frequency was not too high. We were successful in empirically determining an appropriate time interval  
246 for short ISIs as supported by results of the evaluation of the NASA Task Load Index questionnaire (see

247 Sec. 3.1.3). The time interval for the long ISI was empirically chosen to be clearly higher in the subjective  
248 perception of the 4 test subjects. A very low ISI could not be chosen, since we experienced that subjects  
249 easily gave up the run in cases of very short ISIs, i.e. with a duration of 5 s or even with a duration of  
250 10 s. Further, no P300 was evoked under extremely stressful circumstances, as in runs with an ISI of 5 s.  
251 Moreover, to train the classifier qualitatively good training examples were required. And finally, we had  
252 to limit the number of runs and thus total experiment time to avoid overstraining the subjects.

253 For runs 5 and 6 the ISI was adapted online with respect to detectability of the P300 and related ERP  
254 activity. For the online detection of single-trial ERP activity a classifier was trained on examples from  
255 either runs 1 and 2 (for application in run 5) or on examples from runs 3 and 4 (for application in run  
256 6) (see Sec. 2.8 for more details). Adaptation in runs 5 and 6 of the ISI was increased gradually (up to a  
257 maximum of 35 s in steps of 5 s) in case that an expected P300 was not detected two times in a row after  
258 a new task message or was decreased stepwise (down to a minimum of 5 s in steps of 5 s) in case that an  
259 expected P300 was detected two times in a row. For both adapted runs the ISI was preset to 25 s. We always  
260 started with the fixed ISI condition with an ISI of 25 s in runs 1 and 2 to allow subjects to get comfortable  
261 with the control task. This was done since long training sessions just before the experimental session were  
262 not possible since they would have increased the total experiment time to an unacceptable long duration.  
263 For our experimental setting it was more important to record all runs in the same session to avoid between-  
264 session effects on the shape of the ERPs as well as the single-trial classification performance. Although  
265 subjects were intensively trained, they needed to readapt to the control of the robots, since the control task  
266 was very complex. Next, in runs 3 and 4 training data was recorded under the fixed ISI condition. We did  
267 not perform a run with adapted ISI right after the recording of training data with ISI 25 to keep both runs  
268 with adapted ISI close together and thus condition of the subjects similar. Further, interleaving runs with  
269 fixed and adapted ISIs were not performed, since this might have had an influence on the motivation of  
270 the subject during the recording of training data after a run with adapted ISI.

271 *2.2.2 Ethics statement:* The study has been conducted in accordance with the Declaration of Helsinki  
272 and approved with written consent by the ethics committee of the University of Bremen. Subjects have  
273 given informed and written consent to participate.

## 2.3 RECORDED DATA

274 During each executed run EEG was recorded with 64 electrodes referenced against electrode FCz. An  
275 actiCap system (Brain Products GmbH, Munich, Germany) arranged as an extended 10-20 system was  
276 used for recording. Electrode impedance was kept below 5 k $\Omega$ . EEG signals were sampled at 5 kHz,  
277 amplified by two 32 channel BrainAmp DC amplifiers (Brain Products GmbH, Munich, Germany) and  
278 filtered with a low cutoff of 0.1 Hz and high cutoff of 1 kHz.

## 2.4 RESEARCH GOALS & HYPOTHESES

279 The presented work addresses two different research goals with specific subgoals. (I) We want to show  
280 that a P300-related activity is naturally evoked when task messages are presented and recognized. (Ia)  
281 We investigate whether the evoked P300 is modulated by factors like demands on the operator or the  
282 operator's task engagement to previous tasks. (II) We want to show that single-trial detection of P300-  
283 related activity can be used to adapt the interaction with respect to the task engagement of the operator.  
284 (IIa) In particular, we investigate whether an individual balanced task involvement of the operator can be  
285 achieved by adaptation of the ISI resulting in a higher subjective contentment of the operator and in an  
286 individually optimized overall task performance.

287 By means of data recorded in runs 1 to 4 we investigated research goal (I). We artificially modulated the  
288 current task engagement (on the previous task) by presenting a new task. This was achieved by modulating  
289 the time interval between both consecutive tasks: long ISIs of 25 seconds in runs 1 and 2; short ISIs of  
290 15 seconds in runs 3 and 4. Changes in P300 characteristics were investigated by averaged ERP analysis

291 and machine learning methods. To support the usage of single-trial P300 detection we had to assure that  
292 the detection performance is adequately high and not too strongly influenced by ISI per se such that for  
293 very short ISIs possibly no P300 would be detectable in single-trial. For this, an *offline* machine learning  
294 analysis was performed first with training and test on runs with the same ISI. These results were used as  
295 a baseline for other experiments. This condition was called "baseline" condition. Using this analysis, we  
296 investigated whether P300-related activity is detectable in single-trial under application conditions and for  
297 different ISIs as well as how strongly different ISIs would influence classification performance.

298 Further, we investigated the effect of classifier transfer between runs with different ISIs. More precisely,  
299 a transfer of classifier between training runs (runs 1 and 2 or runs 3 and 4) and test runs (runs 5 and 6  
300 with adapted ISI) was applied. This condition was called "transfer" condition. This offline analysis was  
301 relevant because under the *online* condition the classifier was transferred between different ISI conditions.  
302 Different ISIs were caused by the adaptation of the ISI under the *online* condition. Results allow to  
303 estimate the sensibility of the classifier for changes in ISI.

304 To achieve research goal (II) we adapted the developed MMI with respect to the current task engagement  
305 of the user to previous tasks when a new task was presented in runs 5 and 6 (Tab. 2-3: online stCL). Current  
306 task engagement was measured by the *online* single-trial classification of P300-related activity evoked by  
307 recognized target stimuli, i.e., task messages: 1) task engagement to a previous task was expected to be  
308 high in case that the P300-related activity was weakly evoked by a new task and thus not detected by a  
309 classifier, 2) task engagement to a previous task was expected to be low in case that P300-related activity  
310 was more strongly expressed and thus detected by a classifier. Note that in the online case each EEG  
311 trial after a presented first task message was classified, thus in case the operator completely missed a task  
312 message no P300 was expected to be evoked and could therefore not be detected. Hence, our approach  
313 did not only account for reduced P300 activity but also for missed P300 in case of missed target events.

314 To prove that the interaction of the user was improved by online adaptation of the ISI, we analyzed the  
315 total runtime, median reaction time and number of late responses and missed messages. We expected a  
316 reduction in total runtime by online adaptation of the ISI compared to the case of a fixed long ISI (ISI-25;  
317 runs 1 and 2). We did not expect a significant difference to be found for reaction times, since our approach  
318 would avoid user overload and responses were rather complex (see Sec. 2.1). However, we expected some  
319 late responses and missed messages in cases that the user was strongly involved in ongoing tasks when a  
320 new task was presented.

321 Our approach of online adaptation of the ISI allows to adapt an MMI with respect to the current task  
322 engagement or task load, improves user performance by equalizing the level of task engagement over  
323 all tasks and by selectively avoiding task overload. To further support this, we investigated the effect of  
324 an online adaptation of the ISI on averaged P300-related activity, i.e., we investigated whether expected  
325 changes related to task engagement in P300 amplitude could be found. For this evaluation, we compared  
326 averaged activity evoked in case of a fixed ISI of 25 s (runs 1 and 2) and a fixed ISI of 15 s (runs 3 and 4)  
327 with averaged P300-related activity evoked in runs 5 and 6.

328 Based on the research goals, we had three hypotheses: (1) The online adaptation of the ISI reduces total  
329 runtime if compared to the long fixed ISI condition (ISI of 25 s). (2) The modulation of the ISI influences  
330 amplitudes of averaged ERP. In particular, we expect differences between ISI types with respect to peak  
331 amplitudes of the averaged ERP. (3) The usage of historic data is feasible to detect P300 in the current  
332 data (e.g., a transfer of the classifier trained on historic data to the current data is possible).

## 2.5 ANALYSIS OF SUBJECTS' BEHAVIOR

333 *2.5.1 Analysis of total runtime:* The total runtime was measured as the time between the first and the  
334 30th task message within the experiment. This procedure was chosen since the total number of tasks  
335 differs slightly. This happens if the last task is from one of the categories "go to landmark" or "recharge  
336 robot" and if the adapted ISI is quite low. Solving one of these more complex tasks may take some time  
337 since the traveling distance can be rather long. Therefore, all robots may get one of these tasks. When

338 one of the robots reaches its goal position the experiment is finished, but in this way more than 30 task  
339 messages could have been displayed to the user (see Fig. 5).

340 For the statistical analysis, the value of total runtime was merged depending on the ISI type. This leads  
341 to three groups: ISI-25 (runs 1 and 2), ISI-15 (runs 3 and 4), and ISI-online adaptation (runs 5 and 6). The  
342 three ISI groups were compared by the Friedman test. For multiple comparison, the Wilcoxon signed-rank  
343 test was performed (the  $p$  value was adjusted by the Bonferroni-Holm correction).

344 *2.5.2 Analysis of reaction times:* To calculate the reaction times, the EEG marker files were analyzed  
345 in order to deduce all important operator- and scenario-related events. Whenever a message was presented  
346 to the operator or the operator issued a control command this was marked in the EEG file. Based on  
347 the markers we calculated the reaction times, i.e., the amount of time the operator required to react to  
348 a task message by clicking on the correct response button for the robot. Only first task messages were  
349 considered in the analysis. Repetitions of task messages were not analyzed. The median of reaction time  
350 was calculated because of strong deviations and outliers. For a comparison with the ERP average analysis  
351 an additional analysis was performed considering only reaction times after target trials with ISIs that were  
352 used for the average analysis, i.e., target trials which belonged to one of the both groups: ISI-long or  
353 ISI-short (see Tab. 1). Note that for the ERP analysis not all trials could be used since in run 5 6.82% of  
354 the ISI-long trials and 13.33% of the short ISI trials and in run 6 18.57% of the ISI-long trials and 12.05%  
355 of the ISI-short trials contained artifacts and were discarded from analysis.

356 For the statistical analysis, the value of reaction time was merged depending on ISI type and this leads  
357 to three groups: ISI-25 (runs 1 and 2), ISI-15 (runs 3 and 4), and ISI-online adaptation (runs 5 and 6). The  
358 three ISI groups were compared by the Friedman test. For multiple comparison, the Wilcoxon signed-rank  
359 test was performed (the  $p$  value was adjusted by the Bonferroni-Holm correction).

360 Additionally to median reaction times we calculated late responses after 15 s, and missed messages. EEG  
361 trials after messages with responses later than 15 s as well as missed message trials were not considered  
362 during training of the classifier (see Sec. 2.8).

363 *2.5.3 Questionnaires:* Before the experiments started, each subject was instructed to assess its skills  
364 related to the use of computers by filling out the "Computer usage questionnaire" (CUQ) (Schroeders  
365 and Wilhelm, 2011). For the statistical analysis, the Friedman test was performed to compare the  
366 patterns of computer usages between subjects. For multiple comparison, the Wilcoxon signed-rank test  
367 was performed (the  $p$  value was adjusted by the Bonferroni-Holm correction). Furthermore, after each  
368 of the six runs of the experimental session, the subjects had to fill out the NASA Task Load Index (TLI)  
369 questionnaire (Hart and Staveland, 1988). For the statistical analysis, the value of task load index was  
370 merged depending on the ISI type and this leads to three groups: ISI-25 (runs 1 and 2), ISI-15 (runs 3 and  
371 4), and ISI-online adaptation (runs 5 and 6). The three ISI groups were compared by the Friedman test.  
372 For multiple comparison, the Wilcoxon signed-rank test was performed (the  $p$  value was adjusted by the  
373 Bonferroni-Holm correction).

## 2.6 ANALYSIS OF THE MMI BEHAVIOR

374 The behavior of the MMI was analyzed by plotting the changes in the ISI for each subject in case of  
375 ISI adaptation (run 5 and 6, see Fig. 5). Figure 5 illustrates what kind of tasks were presented to the  
376 operator and which ISI was used, therefore the trace is the same as it was during the actual experiment.  
377 The purpose of this analysis was to give an impression of how "good" the adaptation worked and which  
378 ISI was most comfortable for the operator over the course of the run. For a comparison of the mean ISI  
379 between subjects, the mean ISI for each subject and run was calculated and the mean ISI of each run was  
380 compared between subjects by using the Friedman test. For a multiple comparison, the Wilcoxon signed-  
381 rank test was performed (the  $p$  value was adjusted by the Bonferroni-Holm correction). Furthermore, we  
382 investigated whether the mean ISI is a useful indicator for the analysis of the MMI behaviors. To this

383 end, the correlation between the mean ISI and the total runtime was calculated using the Spearman's  
384 rank correlation. We expected a positive correlation such that a longer ISI leads to a longer total runtime.  
385 In addition, we investigated *task type* as another factor with a potential effect on the total runtime. For  
386 example, the task types "go to landmark" and "charging robot" required a longer total runtime compared  
387 to the task type "send message". The frequency and order of task types were randomly chosen. Thus,  
388 differences in frequency of task types can in principle lead to differences in total runtime between subjects.  
389 However, we did not expect a strong correlation between task type and total runtime.

## 2.7 ERP-AVERAGE ANALYSIS

390 Continuous EEGs were bandpass-filtered (0.1Hz–30Hz) and segmented into "target" trials from –100 ms  
391 to 1000 ms with respect to the stimulus onset (baseline correction: from –100 ms before the stimulus  
392 onset to 0 ms). As for the machine learning analysis only trials after the first task messages which have  
393 been responded to within a time period of 15 s were labeled as "target" trials when analyzing runs 1 to 4.  
394 For runs 5 and 6 again only trials with answered task messages were used as "target" trials and averaged  
395 as explained in Tab. 1. This procedure copies the procedure of the offline analysis. Trials after missed task  
396 messages were not averaged to exclude their contribution to the average ERP characteristic. We used a  
397 common average reference (CAR) and recalculated the data from channel FCz. For ERP average analysis  
398 only artifact-free segments were used (see Tab. 1). Artifact detection was performed semi-autonomously  
399 with a maximum amplitude of  $-100\ \mu\text{V}$  and  $100\ \mu\text{V}$ . We compared average artifact-free ERP activity  
400 evoked in runs with ISI-25 and ISI-15 as well as ISI-long and ISI-short. Trials for ISI-25 were conducted  
401 in runs 1 and 2 and trials for ISI-15 in runs 3 and 4. An adaptation of the ISI in runs 5 and 6 did not only  
402 result in various ISIs but also in individual ranges of ISIs for different users (see Tab. 1). Therefore, we  
403 individually divided the EEG segments of runs 5 and 6 into two ISI groups with respect to trials being  
404 evoked after short or long ISIs for each subject. For example, from the data of the subject depicted in Fig. 9  
405 we merged examples after ISI-15 and ISI-20 to calculate average ERP activity after long ISIs and ISI-5  
406 and ISI-10 to calculate average ERP activity after short ISIs (see Tab. 1). By means of this procedure, we  
407 could compare averaged P300-related activity for ISI-short and ISI-long of runs 5 and 6 with the activity  
408 evoked in runs 1 and 2 (fixed ISI of 25 ms: ISI-25) or runs 3 and 4 (fixed ISI of 15 ms: ISI-15) (Tab. 2-2).  
409 For peak detection, we selected a single window of the interval 0.3 s to 0.7 s after a "target" trial. The  
410 positive maximum peak was detected within the selected window.

411 For the statistical analysis of average ERP amplitude values with a sample size of 6 (i.e., 6 subjects), we  
412 performed the Wilcoxon signed-rank test to compare different ISI types (ISI-25 vs. ISI-15 and ISI-long  
413 vs. ISI-short).

## 2.8 MACHINE LEARNING ANALYSIS

414 The data flow of the machine learning algorithm is depicted in Fig. 4 A. For the analysis the software  
415 framework pySPACE (Krell et al., 2013a) was used. First the continuous EEGs were processed by a DC  
416 removal filter, which is an online-capable method for centering the signal around zero. The normalized  
417 EEGs then were decimated from 5000 Hz to 25 Hz. A cutoff frequency of 4 Hz was used for the anti-alias  
418 filter in the decimation process (Jansen et al., 2004; Ghaderi et al., 2014). Afterwards the EEGs were  
419 segmented into chunks of 1 s length. Chunks cut right after a *first* task message (*not* after repetitions  
420 of messages) were labeled as "targets". Within the training, these windows were only cut if the operator  
421 responded to the first task message within 15 s after presentation, in the online case *every* first task message  
422 was analyzed. We further cut "standard" windows of length 1 s while training. These windows were  
423 needed to train the used binary classifier. The standard windows were cut every second with the constraint  
424 that no other action relevant for task recognition was performed in a range from  $[-1, 1]$  s around the cut  
425 window. For the task recognition, actions such as the presentation of a task message or the response of  
426 the operator of one of these messages were used. The segments were further processed with the xDAWN  
427 spatial filter (Rivet et al., 2009). The xDAWN is a spatial filter especially designed for P300 detection. It  
428 (1) enhances the separability of the P300 ERP and noise and (2) reduces the dimensionality of the data.

**Table 1.** Number of artifact-free targets for each run and distribution over different ISIs. For average ERP analysis different ISIs were categorized in two ISI-groups: ISI-short (marked as red) and ISI-long (marked as blue).

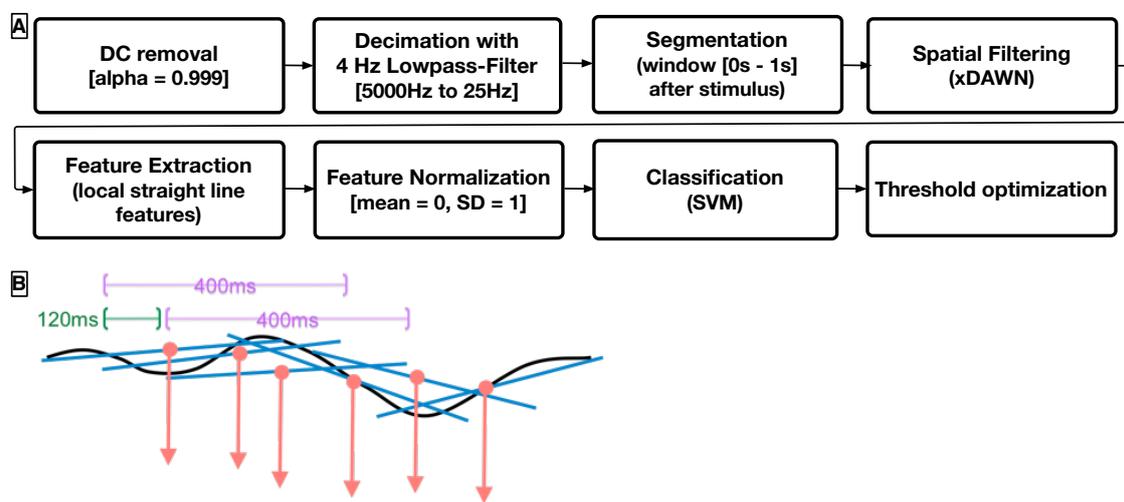
Number of targets for each run						
Subject	run 1	run 2	run 3	run 4	run 5	run 6
S1	26	25	28	27	32	21
S2	31	30	32	33	29	29
S3	23	19	9	27	23	17
S4	21	24	25	25	38	21
S5	23	19	25	23	25	22
S6	29	22	29	30	29	30
Average	25.50±3.89	23.17±4.17	24.67±8.12	26.83±4.02	29.33±5.32	23.33±5.09
Number of targets for all possible ISI-groups within runs 5 and 6						
run 5						
Subject	ISI-05	ISI-10	ISI-15	ISI-20	ISI-25	ISI-30
S1	4	15	10	3	0	0
S2	14	7	5	2	1	0
S3	0	7	6	6	4	0
S4	0	2	10	20	6	0
S5	0	2	4	10	9	0
S6	8	12	3	4	2	0
Average	4.33±5.72	7.50±5.24	6.33±3.01	7.50±6.75	3.67±3.39	0.00±0.00
run 6						
Subject	ISI-05	ISI-10	ISI-15	ISI-20	ISI-25	ISI-30
S1	4	9	0	6	2	0
S2	1	12	11	3	2	0
S3	1	4	6	5	1	0
S4	0	1	2	6	7	5
S5	0	0	3	11	7	1
S6	19	6	3	0	2	0
Average	4.17±7.41	5.33±4.63	4.17±3.87	5.17±3.66	3.50±2.74	1.00±2.00
run 5 + run 6						
Subject	ISI-05	ISI-10	ISI-15	ISI-20	ISI-25	ISI-30
Average	4.25±6.31	6.42±4.85	5.25±3.49	6.33±5.31	3.58±2.94	0.50±1.45

429 To achieve this, a set of filters maximizing the signal-to-signal-plus-noise ratio is computed on a training  
 430 data set. The resulting filters can be used to create a set of pseudo-channels that contain the filtered signal.  
 431 From the newly created pseudo channels the 8 most relevant channels were used for further processing.

432 As features we used local straight line features, i.e., polynomial features. To fit a polynomial function  
 433 EEG data must be segmented (see Fig. 4 B). Earlier investigations showed that the longer the segments are  
 434 chosen, the more coefficients are needed to keep the performance level high. For this paper every 120 ms,  
 435 segments of length of 400 ms within the 1 s segments after stimulus onset were cut. Polynomial features  
 436 of order one, i.e., straight lines were fitted to the 400 ms long segments of the ERP data with 120 ms steps  
 437 to describe the ERP in terms of a series of slope values (see Fig. 4 B). Polynomial features of order one

438 have been chosen since in former investigations of P300 ERP activity the highest value was obtained with  
 439 this low coefficient. Previous analyses, too, as performed for example in **Wöhrle and Kirchner (2014b)**  
 440 support our choice.

441 After this preprocessing a Support Vector Machine (SVM) (**Chang and Lin, 2011**) was used as  
 442 classifier. During training the complexity of the SVM was optimized with a grid search and an internal  
 443 five-fold cross validation. The possible complexities were  $10^n$  with  $n \in 0, -1, \dots, -6$ . Further a  
 444 threshold optimization was applied (**Metzen and Kirchner, 2011**). Further a threshold optimization  
 445 was applied (**Metzen and Kirchner, 2011**). After building the model of a SVM the decision boundary  
 446 is defined as 0 and the two classes (here target and standard) are at the positive and negative side of  
 447 the boundary. The threshold optimizations gives the opportunity to further improve the classification  
 448 performance with respect to a given metric, here the balanced accuracy. The threshold is shifted into  
 449 the negative or positive direction, in a way that for the training data the highest classification performance  
 450 in terms of balanced accuracy is achieved.



**Figure 4.** Data Processing. A: data flow for signal processing and single-trial classification. B: example of an ERP (black line) being processed as local slopes of a straight line.

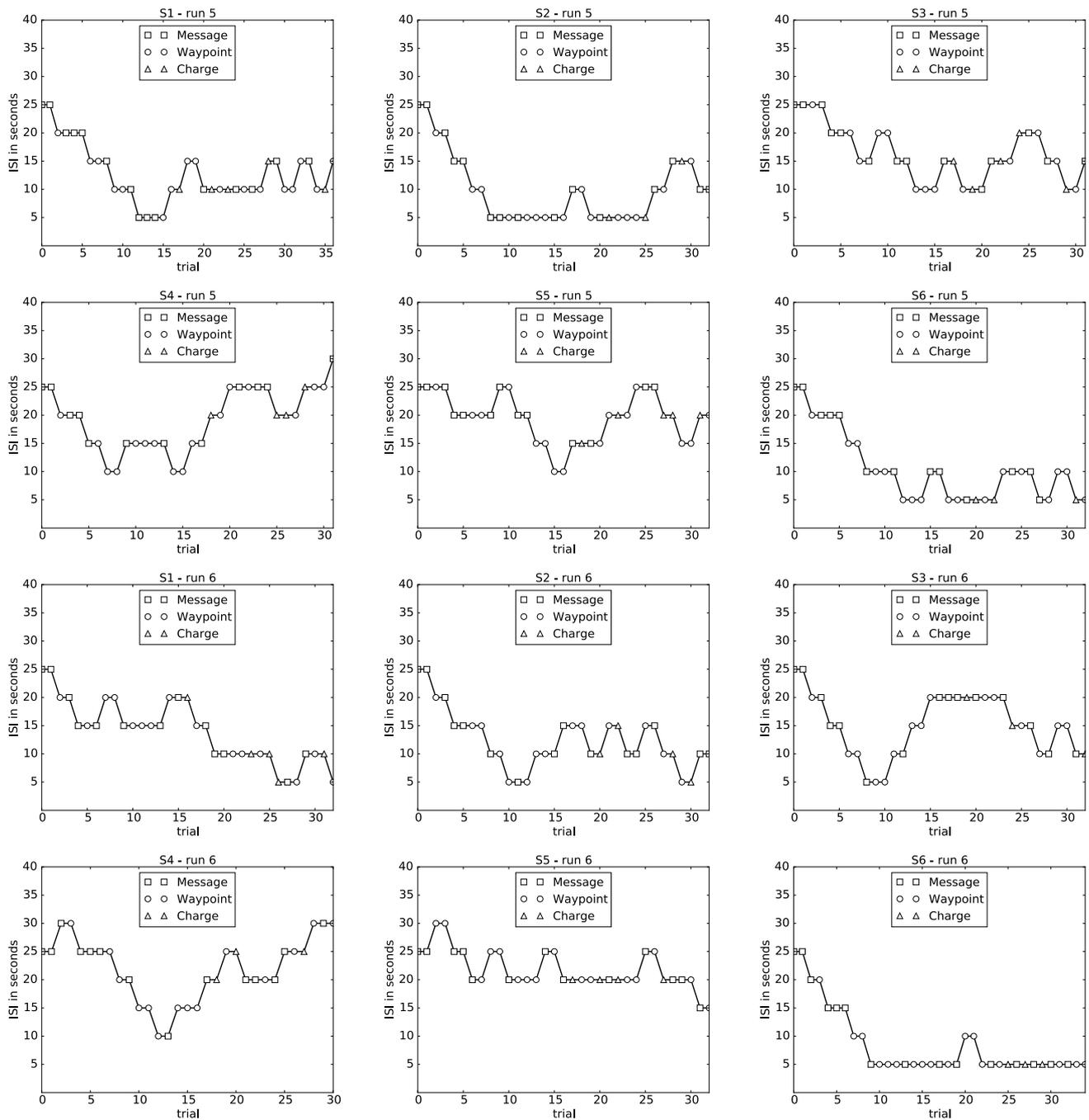
451 We used the balanced accuracy (bACC), i.e., the mean of true positive rate (TPR) and true negative  
 452 rate (TNR), as the performance metric due to the insensitivity of this metric to changes in class  
 453 distribution (**Krell et al., 2013b; Straube and Krell, 2014**). Area under the curve (AUC) values were  
 454 additionally calculated. Classification performance was compared between all conditions. For details see  
 455 Tab. 2-3 and Tab. 2-4. Although the adaptation of the ISI was evaluated online (Tab. 2-3: online stCL), we  
 456 additionally analyzed the data in the offline mode (Tab. 2-4: offline stCL). This procedure was chosen for  
 457 reasons of fair comparison. While in the online mode data of two runs (runs 1 and 2 or runs 3 and 4) were  
 458 used for training, this was not possible for evaluating the general P300 detectability in case of fixed ISIs  
 459 since here only one run could be used for training while the other was used for testing. By means of the  
 460 chosen offline approach we were able to analyze the no-transfer case (as baseline/control) and the transfer  
 461 case equally.

462 For the statistical analysis on single-trial classification performance, two separate comparisons were  
 463 performed by using the Wilcoxon signed-rank test. First, we compared two online cases: online P300  
 464 detection in run 5 vs. run 6 (see (e) vs. (f) in Tab. 2-3: online stCL). Here, two samples per subject  
 465 were obtained for each online case. Altogether, we obtained a sample size of 12 (2 samples x 6 subjects)  
 466 for each online case. Second, two adapted ISI conditions were compared with two fixed ISI-conditions

**Table 2.** Design for the recording of EEG data, evaluation design for ERP analysis and design for the analysis of single-trial classification performance (online/offline-mode). ERP: event-related potentials, online stCL: online single-trial classification, offline stCL: offline single-trial classification, and ISI: inter-stimulus interval. Each run contained 30 trials. For online single-trial classification, 60 trials (e.g., runs 1 and 2) were used to train a classifier and 30 trials (e.g., run 5) were used for evaluation. For offline single-trial classification, 30 trials were used for training and testing in both cases (no transfer/classifier transfer).

Table 2-1. EEG data		Table 2-2. Evaluation design for ERP analysis							
(a) run 1: fixed ISI of 25 s		average ERP in (a)	ISI-25: average of (a) and (b)						
(b) run 2: fixed ISI of 25 s		average ERP in (b)							
(c) run 3: fixed ISI of 15 s		average ERP in (c)	ISI-15: average of (c) and (d)						
(d) run 4: fixed ISI of 15 s		average ERP in (d)							
(e) run 5: online adapted ISI		average ERP in (e)	various ISIs are grouped in short and long ISI						
(f) run 6: online adapted ISI		average ERP in (f)	for each subject: (e), (f), or average of (e) and (f)						
Table 2-3. Online stCL		Table 2-4. Offline stCL							
adapted ISI classifier transfer		adapted ISI (e) transfer		ISI-25 (control) no transfer		adapted ISI (f) transfer		ISI-15 (control) red   no transfer	
training	test	training	test	training	test	training	test	training	test
ISI-25 (fixed ISI of 25 s)		(a)	(e)			(c)	(e)		
(a) + (b) merged	(e)	(b)	(e)	(a)	(b)	(d)	(e)	(c)	(d)
			mean (e)				mean (e)		
training	test	training	test	training	test	training	test	training	test
ISI-15 (fixed ISI of 15 s)		(a)	(f)			(c)	(f)		
(c) + (d) merged	(f)	(b)	(f)	(b)	(a)	(d)	(f)	(d)	(c)
			mean (f)				mean (f)		

467 in offline mode depending on the type of training data (ISI-25 or ISI-15) used to train the classifier: 1)  
 468 adapted ISI (e) vs. ISI-25 (control) (see in Tab. 2-4: offline stCL) and 2) adapted ISI (f) vs. ISI-15 (control)  
 469 (see in Tab. 2-4: offline stCL). In the offline analysis, the number of training examples for the fixed ISI  
 470 conditions (run 1 or run 2 / run 3 or run 4, see Tab.2-4) was half the number of training examples used for  
 471 the adapted ISI conditions in case of online evaluation (run 5 or run 6, see Tab.2-3). For a fair comparison  
 472 between the adapted and fixed ISI-condition, only one run (run 1 or run 2) was used to train the classifier  
 473 to test it on run 5, and the mean of classification performance obtained by using run 1 or run 2 for training  
 474 was calculated in the case of the *adapted ISI(e)* (see Tab. 2-4 (e) in offline stCL). Similarly, in the case  
 475 of the *adapted ISI(f)*, only one run (run 3 or run 4) was used to train the classifier to test it on run 6 and  
 476 the mean of classification performance obtained by using run 3 or run 4 for training was calculated (see  
 477 Tab. 2-4 (f) in offline stCL). Each adapted and fixed condition has two samples per subject. Altogether,  
 478 we obtained a sample size of 12 (2 samples x 6 subjects) for each condition.



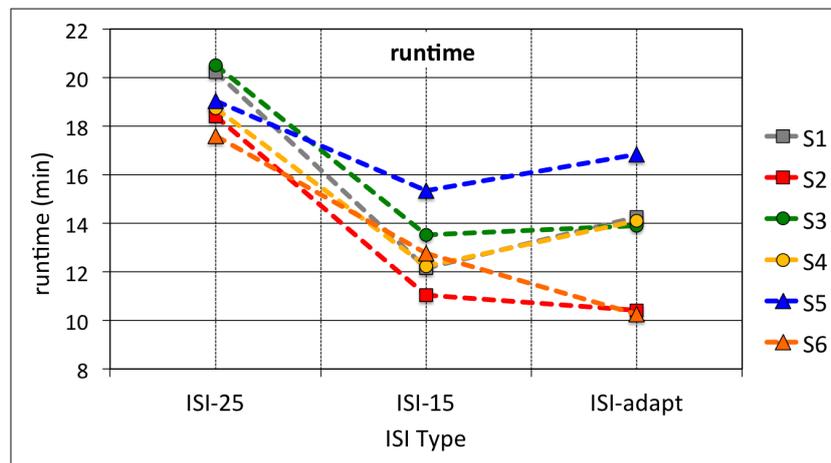
**Figure 5.** Changes in ISI over each run in case of the adapted ISI condition (runs 5 and 6) for each subject are depicted.

### 3 RESULTS

#### 3.1 BEHAVIOR OF SUBJECTS

479 *3.1.1 Total runtime:* Figure 5 shows how the ISI changed over one run based on the inferred task  
 480 load and task engagement of the user measured by P300 detectability. Subjects reported that the online

481 adaptation made them feel to have just the right task frequency. This indicates that online adaptation  
 482 of the MMI has a positive effect on the interaction. The finding was supported by the results of the  
 483 behavioral analysis of the total runtime (see Fig. 6). The online adaptation of the ISI reduced total  
 484 runtime significantly if compared to the ISI-25 condition [ $p < 0.001$ ]. Moreover, there was no significant  
 485 difference in total runtime between the case of online adaptation of ISI and the case of ISI-15 condition  
 486 [ $p = n.s.$ ].



**Figure 6.** The means of both runs for each ISI type are depicted. The median across all subjects for each ISI type was 17.59 for ISI-25, 11.49 for ISI-15 and 12.45 for ISI-adapt.

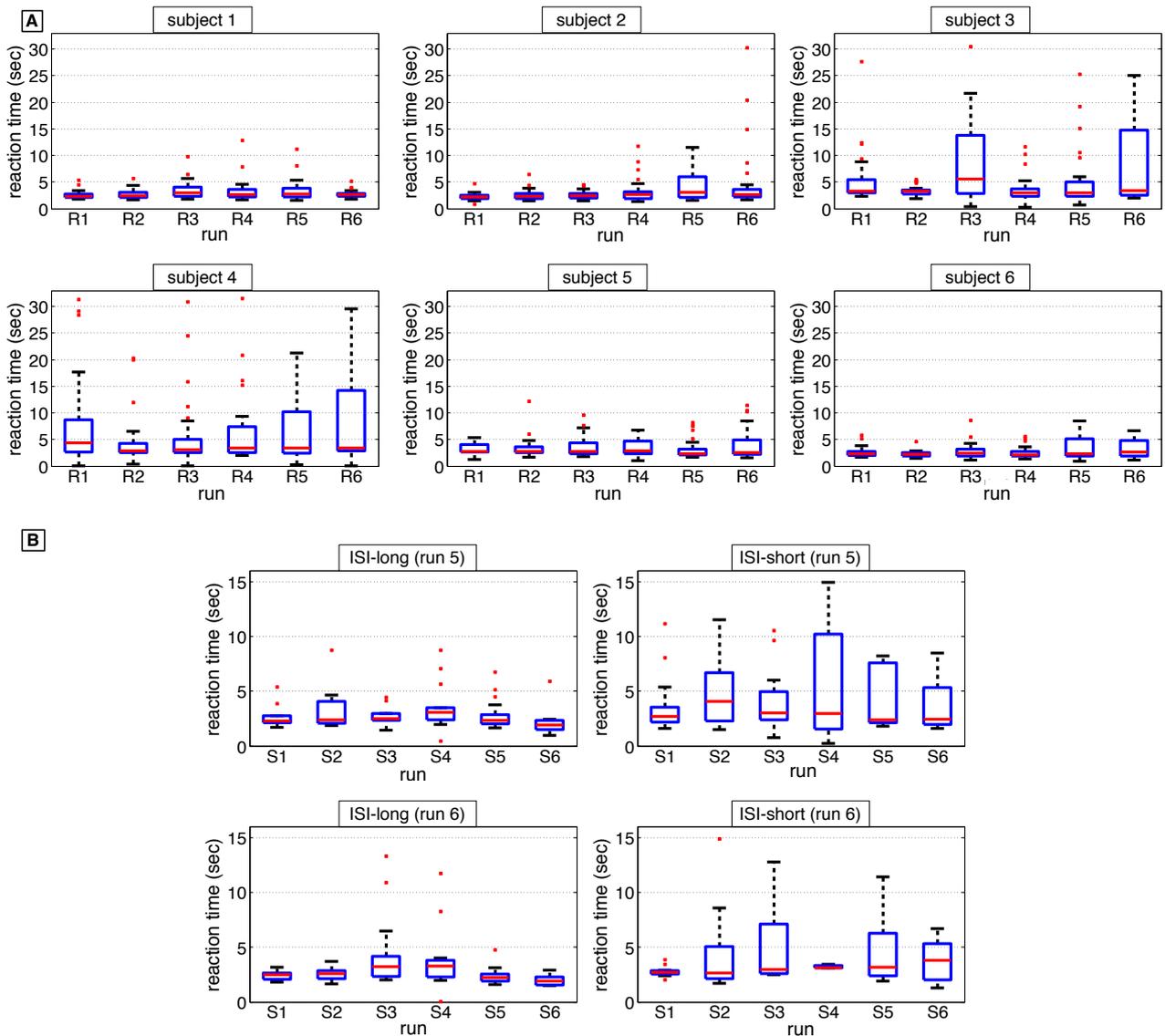
487 **3.1.2 Reaction time:** Figure 7-A shows the median reaction time for individual subjects over all runs.  
 488 It can be seen that median reaction times are very similar over all conditions and runs for each subject.  
 489 When merging the two runs of each condition (ISI-25, ISI-15, and ISI-adapt) we found no significant  
 490 difference between ISI types. However, when analyzing median reaction time individually for ISI-long  
 491 and ISI-short groups of runs 5 and 6 as performed for average ERP analysis it can be seen that the reaction  
 492 time on task messages presented after short ISIs showed a higher variance compared to task messages  
 493 presented after long ISIs (see Fig. 7-B).

494 A descriptive analysis of the sum of late responses and missed messages per subject for each run is  
 495 visualized in Figure 8. It can be seen that for some subjects the number of late responses and missed  
 496 messages was higher than for others (subjects 3 and 4). Table 3 provides information about the number of  
 497 late responses, missed messages and the sum of both as depicted in Figure 8.

498 **3.1.3 Questionnaires:** The analysis of the "computer usage questionnaire" shows a significant  
 499 difference between subjects, especially subject 4 differed significantly from the other subjects [ $p < 0.03$ ].  
 500 The analysis of the "NASA Task Load Index (TLI) questionnaire" shows no significant differences  
 501 between runs [ $p = n.s.$ ].

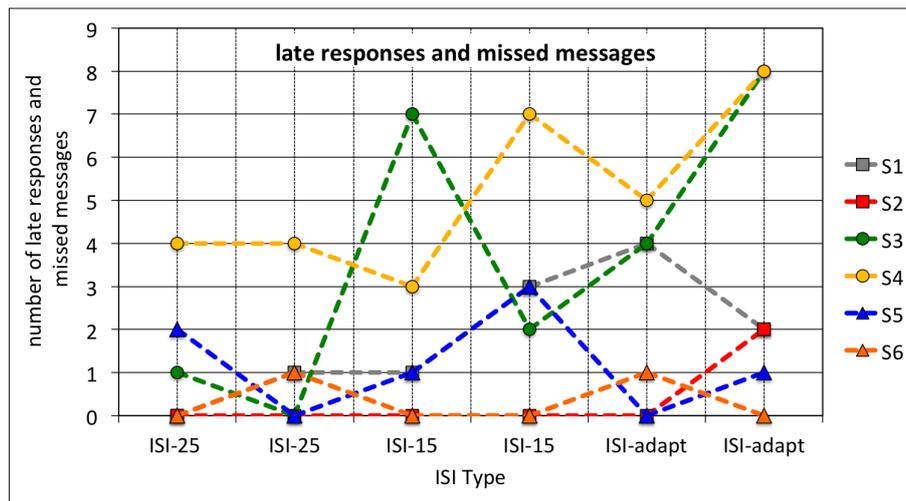
### 3.2 BEHAVIOR OF MMI

502 Figure 5 depicts the changes of the ISI for both adapted runs (runs 5 and 6) for each subject. It can be seen  
 503 that the adaptation of the ISI is very individual for each subject and even for each run. While for some  
 504 subjects and runs, as for subject 2 in run 5, the ISI goes down to the minimum of 5 s and stays there for  
 505 almost 20 trials, for other subjects the ISI is not reduced that much (see for example subject 5 for both  
 506 runs). In most cases the ISI gradually decreases just to later increase. However, there are exceptions from



**Figure 7.** Median response time. A: median reaction times for each run and each subject are depicted. B: median reaction times for each run and each subject sorted with respect to trials with short and long ISI as defined for average ERP analysis are depicted.

507 these findings. For example subject 1 shows a reduction of ISI at the end of run 6 and subject 6 stays with  
 508 a low ISI during both runs. For all subjects the ISI starting with 25 s was reduced to a lower mean ISI with  
 509 average values of 14.67 s and 15.62 s (runs 5 and 6) (see Tab. 5). Moreover, we could also find differences  
 510 in the mean ISI between subjects. For example, while the mean ISI for subject 4 and subject 5 is around  
 511 19 s and 22 s (runs 5 and 6), the mean ISI for subject 6 is at 10.45 s and 8.43 s (runs 5 and 6) and for subject  
 512 2 at 9.85 s and 12.42 s (runs 5 and 6). The mean ISI for Subject 4 and subject 5 was significantly higher  
 513 compared to the other subjects [ $p < 0.017$ ]. Furthermore, the mean ISI correlated strongly with the total  
 514 runtime [ $r = 0.874$ ,  $p < 0001$ ], but not the task type (e.g., send message, go landmark, etc.).



**Figure 8.** Sum of late responses and missed messages for each run and each subject is depicted.

**Table 3.** Number of tasks with late or no response in runs 5 and 6

subject	run 5			run 6		
	late	missed	total	late	missed	total
S1	4	0	4	2	0	2
S2	0	0	0	2	2	4
S3	4	0	4	8	0	4
S4	5	0	5	8	0	8
S5	0	1	1	1	0	1
S6	1	0	1	0	2	2

### 3.3 AVERAGE P300-RELATED ACTIVITY

As shown in Fig. 9 and Fig. 10, we observed differences in averaged ERP shape depending on the ISI condition (short/long ISI). Note that the ISI in case of long ISIs and short ISIs differ for both average analysis conditions (fixed-ISI condition and adapted-ISI condition, see Tab. 5). While for ISI-long average analysis condition the ISI is set to 25 s, ISI-long for the adapted-ISI condition is around 19 s. Similar differences can be found for the ISI-short average analysis condition (fixed short ISI: 15 s versus adapted ISI around 10 s). The peak amplitude of the averaged P300-related activity was not significantly reduced in case of ISI-15 (runs 3 and 4) compared to ISI-25 condition (runs 1 and 2) [ $p = n.s.$ ]. However, we observed a significant reduction in averaged P300 amplitude in run 5 and run 6 for short ISI groups compared to long ISI groups [ $p < 0.04$ ]. Furthermore, there was a significant difference between ISI-15 and ISI-short [ $p < 0.04$ ], but not between ISI-25 and ISI-long [ $p = n.s.$ ].

### 3.4 ONLINE P300 DETECTABILITY

Finally, we achieved high classification performances in both the online and offline analysis. In the online evaluation, we found no significant difference between both online runs [adapted ISI (e) vs. adapted ISI (f): bACC of 0.77 vs. bACC of 0.78,  $p = n.s.$ , see adapted ISI (e) vs. adapted ISI (f) in Tab. 4-1]. In the offline evaluation, classification performance obtained by using the classifier trained on ISI-25 statistically differed from classification performance obtained in case of no transfer [ISI-25 vs. adapted ISI: bACC of 0.84 vs. bACC of 0.75:  $p < 0.003$ , see adapted ISI (e) vs. ISI-25 in Tab. 4-2]. However, we found no

**Table 4.** Online and offline classification performance

	bACC		AUC	
	adapted ISI (e) classifier transfer	adapted ISI (f) classifier transfer	adapted ISI (e) classifier transfer	adapted ISI (f) classifier transfer
S1	0.7646	0.7586	0.8481	0.8343
S2	0.8403	0.8177	0.8692	0.8596
S3	0.7892	0.7422	0.8516	0.8681
S4	0.6486	0.7083	0.7620	0.7365
S5	0.7981	0.7631	0.8790	0.8621
S6	0.7931	0.9021	0.9292	0.9375
mean	0.7723	0.7820	0.8565	0.8497

	bACC				AUC			
	adapted ISI (e) transfer	ISI-25 no transfer	adapted ISI (f) transfer	ISI-15 no transfer	adapted ISI (e) transfer	ISI-25 no transfer	adapted ISI (f) transfer	ISI-15 no transfer
S1	0.7057	0.8086	0.7595	0.7725	0.8063	0.8815	0.7979	0.7966
S2	0.7690	0.9536	0.8366	0.9361	0.8183	0.9873	0.8870	0.9604
S3	0.6987	0.7568	0.7912	0.7406	0.8643	0.8923	0.476	0.8159
S4	0.6772	0.7310	0.7195	0.7187	0.7670	0.7451	0.7170	0.8459
S5	0.7722	0.8135	0.7685	0.7650	0.8054	0.8942	0.8193	0.8745
S6	0.8625	0.9600	0.8843	0.8864	0.9037	0.9692	0.9549	0.9045
mean	0.7476	0.8373	0.7933	0.8032	0.8275	0.8951	0.8373	0.8663

531 significant difference in classification performance when using the classifier trained on ISI-15 compared  
 532 to the case of no transfer (ISI-15) [ISI-15 vs. adapted ISI: bACC of 0.80 vs. bACC of 0.79:  $p = n.s.$ ,  
 533 see adapted ISI (f) vs. ISI-15 in Tab. 4-2]. There was no significant difference between the online and  
 534 offline evaluation for the case of ISI-adaptation [adapted ISI (e) in Tab. 4-1 vs. adapted ISI (e) in Tab. 4-2:  
 535  $p = n.s.$ ; adapted ISI (f) in Tab. 4-1 vs. adapted ISI (f) in Tab. 4-2:  $p = n.s.$ ]. In summary, we found a  
 536 transfer effect on classification performance in case that the classifier was trained on data from the ISI-25  
 537 runs. However, such an effect was missing when the classifier was trained on data from the ISI-15 runs. It  
 538 must be emphasized that the classification performance was very similar in case of both classifier transfer  
 539 analyses, i.e., adapted ISI (e) and adapted ISI (f) (see Tab. 4-1).

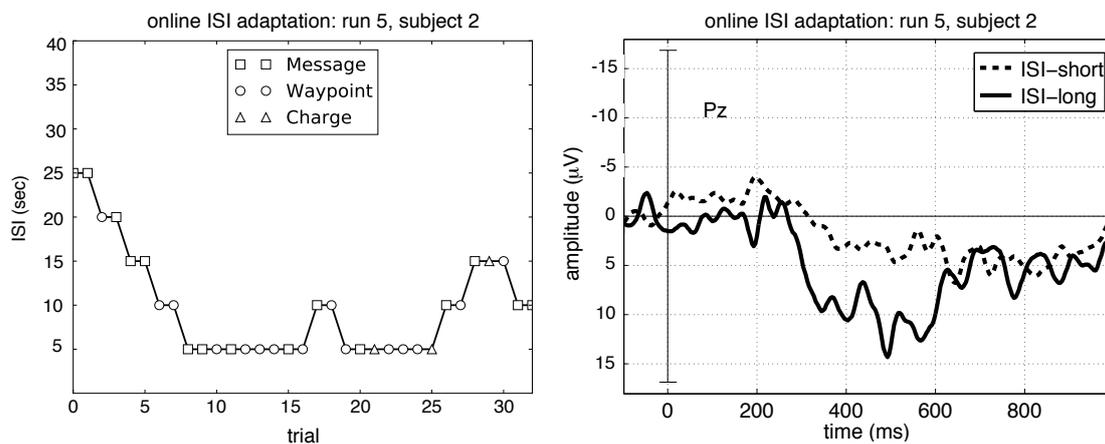
## 4 DISCUSSION

### 4.1 IMPROVEMENT OF INTERACTION

540 Supporting our hypothesis (1) behavioral data showed that total runtime in runs with adapted ISI was  
 541 significantly shorter compared to an unadapted condition with an ISI of 25 s. Although there was no  
 542 significant difference between the adapted ISI and the fixed shorter ISI of 15 s the mean total runtime  
 543 was still very low considering the fact that runs with ISI adaptation did start at an ISI of 25 s. Significant

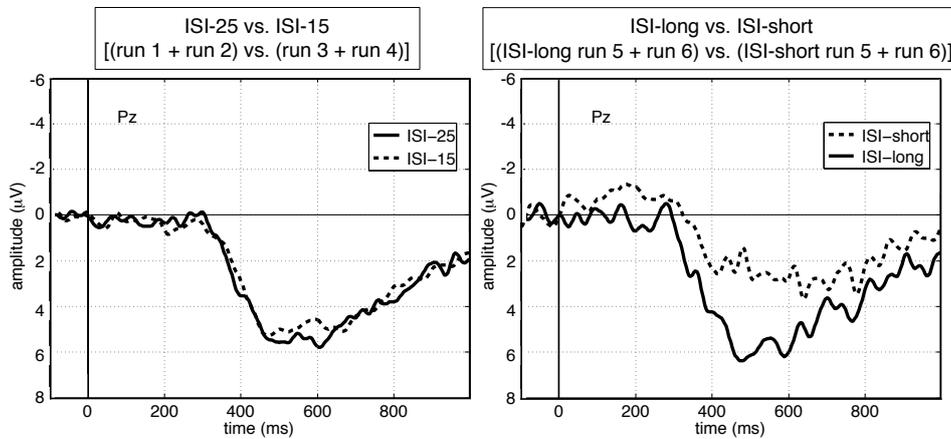
**Table 5.** Mean ISIs in case of online ISI-adaptation (runs 5 and 6). Table 5-1: mean over all trials. Table 5-2: mean over a selected group of trials with ISI-short and ISI-long as defined for average ERP analysis (see Table 1).

subject	Mean ISI in sec.					
	Table 5-1.		Table 5-2.			
	run 5	run 6	run 5		run 6	
			ISI-long	ISI-short	ISI-long	ISI-short
S1	12.7±5.01	13.94±5.47	16.15	8.95	21.25	8.46
S2	9.85±5.97	12.42±5.09	16.47	6.67	16.07	9.62
S3	16.25±4.84	15.15±5.43	22.00	12.31	17.27	9.00
S4	19.22±5.46	21.94±5.63	21.15	14.17	22.69	13.33
S5	19.55±4.33	21.82±3.44	22.37	13.33	25.63	8.57
S6	10.45±6.08	8.43±5.95	17.86	8.00	15.00	6.20
Average	14.67±4.29	15.62±5.35	19.33±2.84	10.57±3.10	19.65±4.19	9.20±2.33



**Figure 9.** Adaptation of the ISI over one run and the evoked averaged ERP activity at Pz for one subject: (a) depicts online adaptation of ISI in case of using the classifier trained on data with ISI of 25 s (i.e., training data: ISI-25, test data: run 5, see (e) in Tab. 2-3: online stCL) and (b) the corresponding averaged ERP curve evoked during the same run (Tab. 2-2: ERP analysis). Only artifacts-free trials were used: 7 trials for ISI of 15 s and ISI of 20 s; 21 trials for ISI of 5 s and ISI of 10 s. Different types of tasks (tasks of type: message, way point and charging, see Fig. 3 for details) had to be solved by the subjects.

544 differences in the total runtime between runs with adapted ISI and the fixed shorter ISI of 15 s were not  
 545 expected, since the time needed until a task was performed by a robot does (although not strongly) depend  
 546 on the type of task. For example, sending data was very fast and instant while reaching a certain landmark  
 547 could take a long time depending on the current position of the robot and the landmark. Thus, some  
 548 deviation in runtime depending on the kind of tasks that had to be performed by the robot, was expected.  
 549 On the other hand, we did not choose subjects with a certain qualification but chose subjects independent  
 550 of their experience in robot control or video gaming. Thus, we expected differences in the subjects'  
 551 performances resulting in different "suitable" ISIs and hence also in different total runtimes. Important  
 552 was that a significantly shorter runtime could be achieved compared to the fixed ISI-25 condition under  
 553 which all the subjects could perform the tasks without being stressed.



**Figure 10.** Averaged ERP activity over all subjects at electrode Pz under offline condition (left side) and under online condition (right side). Grand averages over all subjects are depicted. Each run contained 30 trials. Only artifacts-free trials were used: 292 trials for ISI-25 and 313 trials for ISI-15, 139 trials for ISI-long and 164 trials for ISI-short.

554 Besides, the goal was not to reduce the total runtime to a minimum but to adapt the ISI with respect  
 555 to the demands of the user of the MMI. Indeed, for some subjects the mean ISI was reduced to mean  
 556 values around 10 s while for other subjects, i.e., subjects 4 and 5, the ISI was clearly above 15 s (around  
 557 19 s, see Sec. 3.2). On the other hand, even for subjects for whom the ISI was not reduced that much,  
 558 mean ISI was clearly below 25 s, supporting our presupposition from the 4 test subjects that were not  
 559 included in this study that a fixed ISI of 25 s ensures that all subject can easily perform the tasks but  
 560 will probably make the subjects feel bored. An interesting finding is that subject 4 for which the ISI was  
 561 reduced only to a still high value (around 19 s) significantly differed from the other subjects with respect  
 562 to computer usage as evaluated by the Computer usage questionnaire (CUQ). This finding supports our  
 563 assumption that the MMI could be adapted based on the detectability of the P300 to support the user with  
 564 respect to her or his general capabilities. Note that subject 4 showed the lowest classification performance  
 565 in both runs compared to the other subjects (although no significant differences between subjects could  
 566 be found, see Tab. 4). Moreover, subject 4 had a high amount of late responses and missed messages  
 567 (see Fig. 8). Another interesting finding is that the median reaction time does not significantly differ  
 568 between subjects. This finding suggests that in our application behavioral data is probably not a good  
 569 indicator for task load. Moreover, it shows that using our approach subjects were exposed to an appropriate  
 570 workload. In summary, the results suggest that by using the developed MMI utilizing embedded Brain  
 571 Reading, the MMI cannot only be adapted to the general capabilities of the user (e.g., experienced or  
 572 rather inexperienced in computer usage) but also to the changes in task load over time.

## 4.2 CHANGES IN THE CHARACTERISTIC OF AVERAGE P300 DEPENDING ON THE ISI

573 Applying average ERP analysis, we were able to show that during a complex multi-robot control task  
574 a P300-related activity is evoked by task messages which are presented to the operator. This finding is  
575 the most important basis for our approach to adapt an MMI based on P300 detectability. As expected we  
576 found *no* significant differences in the averaged-peak P300 amplitude for both fixed ISI conditions. This  
577 supports earlier findings that the ISI has no influence on the P300 amplitude in case of long ISIs (longer  
578 than 6 to 8 s as found by Polich (2007)). More importantly this finding supports our assumption that on  
579 both fixed ISI conditions the general workload on the subjects was rather modest and comparable. Hence,  
580 any found differences in the P300 peak amplitude should be caused by changes in the current task load  
581 and task engagement. This finding is supported by the fact that in case of an ISI adaptation the average  
582 P300 peak amplitude was significantly reduced for trials after short ISIs compared to trials after long ISIs.

583 Our results from the average ERP analysis support hypothesis (2): we could show differences in the  
584 P300 peak amplitude for average conditions with a high task load (averaged ERP activity after ISI-long  
585 in adapted ISI condition) compared to average conditions with low task load (averaged ERP activity after  
586 ISI-long in adapted ISI condition).

587 The finding that the peak amplitude of the average P300 activity after trials with ISI-short (adapted  
588 ISI condition) is significantly smaller compared to the peak amplitude of the average P300 activity of  
589 both fixed ISI conditions (ISI-25 and ISI-15) suggests that for all subjects the MMI was indeed adapted  
590 to achieve the best performance without enhancing the workload too much such that no P300 would  
591 be evoked. Tests on 4 subjects (not included in this study) showed that in cases in which the workload  
592 was too high no P300 was evoked on average or could not be detected in single-trial while subjects  
593 reported that they were very stressed and could not perform the tasks. Hence, the MMI is adapted such  
594 that subjects perform best while avoiding an excessive general workload. Some subjects were able to  
595 keep their performance high with a short ISI all through the experiment while others did not. For the  
596 latter, the MMI was again adapted to longer ISIs reducing the task load back to normal. The task load and  
597 thus the general workload being modest under the adapted condition after long ISIs is supported by the  
598 finding that the average P300 peak amplitude evoked after long ISI trials under the adapted ISI condition  
599 is comparable to the average P300 peak amplitude under the fixed ISI conditions (ISI-25 and ISI-15). This  
600 was even the case although the mean long and short ISI differed strongly between subjects (see Tab. 1 and  
601 Tab. 5). Based on these findings we suggest that the P300 ERP is indeed a good indicator for the current  
602 and individually different task load of a subject while controlling the robots.

## 4.3 DETECTABILITY OF P300 IN SINGLE-TRIAL

603 The results of the offline machine learning analysis support that the P300-related activity which was  
604 evoked by task messages can be detected in single-trial even in case that the classifier is transferred  
605 between different ISI conditions. Thus, the results support hypothesis (3).

606 When comparing online classification with offline classification a performance drop can be observed.  
607 This can be explained as follows: In the online case each first message was classified independently  
608 of having been responded to. Therefore, trials after missed task messages which likely did not contain  
609 a P300 were classified, leading to "false negative" results. It was therefore expected that classification  
610 performance was lower for the online case, since the approach is sensitive to missed targets. The small  
611 difference between online and offline results support that the MMI was well designed such that only few  
612 target events (messages) were completely missed (see also Tab. 3).

613 Besides this, in both transfer cases similar classification performance can be achieved. Hence, for an  
614 application it is not that relevant for the classification on which data a classifier is trained. While we  
615 found no significant differences between subjects for online classification performance it is noticeable that  
616 subject 4 had the worst classification performance in both runs compared to the other subjects (discussion  
617 see Sec. 4.1).

#### 4.4 P300 DETECTABILITY AS INDEX FOR TASK LOAD OR TASK ENGAGEMENT

618 By reducing the ISI to way shorter ISIs compared to the ISI-15 condition (see Tab. 5) we strongly enhanced  
619 the task load and likelihood of conflicts since subjects might still be engaged in a former task when a new  
620 task message was presented. This is supported by two findings: (1) the higher variance in reaction time  
621 found for the ISI-short group (based on grouping for average analysis) and (2) the smaller average P300  
622 evoked after short ISI trials in the adapted ISI condition (see Fig. 10). Likely, subjects were still involved  
623 in a previous task and often could therefore respond to a new task only with a delay.

624 We found a similar effect in a previous study (**Kim and Kirchner, 2012**). In this previous study, subjects  
625 played a labyrinth game and had to respond to target stimuli which were presented in an oddball design.  
626 However, subjects were not allowed to respond to target events right away. We asked the subjects to steer  
627 the ball in a save corner first before answering a target event. When analyzing the average P300 potential  
628 we grouped the data with respect to reaction time such that the first group consisted of EEG trials with  
629 only short reaction times up to 1.4 s, for the second group trials were added which had reaction times up  
630 to 1.6 s, for the third group up to 1.8 s, the fourth up to 2.0 s, and the fifth up to 7.0 s. Although keeping  
631 the trials with short reaction times up to 1.4 s for the second group and up to 1.6 s for the third group, we  
632 still found descriptive differences in average peak amplitude of the P300 component between all groups  
633 with highest amplitude for the group of 1.4 s and lowest for the group of 7.0 s. When classifying between  
634 standard and target trials we found significant differences between the group of 1.4 s compared to all other  
635 groups with the exception of group 1.4 s compared to group 1.6 s and significant differences between the  
636 group of 7.0 s compared to all other groups with highest classification performance of 0.85 for the group  
637 of 1.4 s and lowest classification performance of 0.76 for the group of 7.0 s. These results suggest that  
638 ongoing task engagement, i.e., playing the labyrinth game, reduced the P300 evoked by a new target  
639 stimulus tremendously and would also reduce classification performance.

#### 4.5 SUMMARY AND OUTLOOK

640 In summary, our results show that complex interaction between humans and robotic systems can be  
641 improved by the application of an MMI adapted by eBR. The time between tasks can be adjusted such  
642 that a reduction of run time compared to a safe mode is possible. The strength of adaptation does further  
643 correlate with the experience of the user. Thus, the MMI can be adapted to the needs of the user within a  
644 range of workload that can otherwise not be resolved. Our approach shows that EEG activity like the P300-  
645 related activity that is naturally evoked during interaction can be used to adapt an MMI with respect to  
646 online changes in task load or task engagement of an operator. Thus, the dual-task design (with a primary  
647 and usually artificially introduced secondary task) that is often applied to infer on current processing  
648 capacity of the brain must not be applied to adapt for task engagement. The ERP activity can be used  
649 rather naturally, similar to approaches that make use of ratios of EEG power bands (**Pope et al., 1995**)  
650 while being specific to certain stages of information processing (**Prinzel et al., 2003**). Hence, for the user,  
651 our approach of measuring brain states and task engagement remains invisible and avoids any possible  
652 additional load on the user, since the task itself is used to measure task load, without any additional task.

653 In the future, we will have a closer look at the long term effect of adaptation of the ISI compared to a high  
654 task load condition, i.e., ISI of 10 s or even lower. For this, it is required to avoid the recording of extra  
655 training data since this requires a considerable amount of time. The total time for one experiment (6 runs)  
656 was already between three to four hours including preparation. Thus, for a long term study, preparation and  
657 especially training of the classifier must be kept to a minimum. This can be achieved by using zero-training  
658 approaches (**Krauledat et al., 2008; Kindermans et al., 2012**) or by using old training data from either  
659 previous recordings of the same subject or other subjects (**Devlaminck et al., 2011; Lotte and Guan,**  
660 **2010; Samek et al., 2013**). To reduce transfer effects (between sessions and between subjects) adaptive  
661 algorithms for the spatial filter (**Rivet et al., 2011; Ghaderi and Straube, 2013**), the classifier (**Li et al.,**  
662 **2008; Lu et al., 2009; Tabie et al., 2014**) or both (**Wöhrle et al., 2015**) can be applied. Moreover, we  
663 want to investigate whether adaptive measures can be used to even improve the classification performance  
664 and the support for the user as we could already show for the prediction of movement onsets (**Tabie et al.,**

665 2014). Finally, we will investigate transferability of the final approach to a mobile analysis system which  
666 makes use of hardware accelerators as already tested for the current application. Even for an adaptive  
667 approach hardware accelerators have shown to be feasible for the detection of both the P300 event-related  
668 potential (Wöhrle et al., 2013b,a, 2014a) and the movement-related ERP activity (Wöhrle et al., 2014b).

## DISCLOSURE/CONFLICT-OF-INTEREST STATEMENT

669 The authors declare that the research was conducted in the absence of any commercial or financial  
670 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

671 E.A.K. developed concepts for the MMI and for data evaluation, interpreted the results and wrote most  
672 of the manuscript. She further contributed to data recording, evaluation and statistical design. S.K.K.  
673 performed the analysis of ERP averages and of questionnaires and the statistic evaluation of classification  
674 performance, ERP results and behavior data. She further wrote parts of the manuscript and supported  
675 data acquisition. M.T. conducted the experiments, designed the online processing flow, did the machine  
676 learning analysis and evaluated the ISI changes. He further wrote parts of the manuscript. H.W. conducted  
677 the experiments, designed the online processing flow and performed behavioral analysis with respect  
678 to reaction time and late reaction time, selected the questionnaires and wrote parts of the manuscript.  
679 M.M. conducted the experiments, adjusted the MMI to match the experiments needs and wrote parts of  
680 the manuscript. F.K. contributed to the concept of the MMI, critically discussed the research goals, and  
681 revised and improved the manuscript. All authors gave their final approval of the version to be published  
682 and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy  
683 or integrity of any part of the work are appropriately investigated and resolved.

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